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# **Selective Treatment of Cancer: Synthesis, Biological Evaluation and** Structural Elucidation of Novel Analogues of the Antibiotic CC-1065 and the Duocarmycins

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Dedicated to Professor Burchard Franck on the occasion of his 80th birthday

Abstract: Novel diastereomerically pure  $\beta$ -D-galactosidic prodrugs (+)-12 a–e of the cytotoxic antibiotics CC-1065 and the duocarmycins were prepared for an antibody directed enzyme prodrug therapy (ADEPT) using 4 as a substrate via a radical cyclization to give rac-5 and rac-6 followed by a chromatographic resolution of the enantiomers of rac-5, glycosidation and

# Introduction

The antibody directed enzyme prodrug therapy  $(ADEPT)^{[1,2]}$  is a promising concept for a selective treatment of cancer, in which a prodrug is enzymatically converted into a cytotoxic compound at the surface of malignant

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Supporting information for this article is available on the WWW under http://www.chemeurj.org/ or from the author: CD spectra of (+)-5 in different solvents (Figure S1), defined dihedral angles and heats of formation of the 16 minimum conformers of  $(1S,10R)$ -5 (Table S1), CD spectra predicted by OM2 and TDDFT calculations.

linkage to the DNA-binding units 10 a– e. These only slightly toxic compounds can be toxified enzymatically by an an $tibody-\beta-p-galactosidase$  conjugate at the surface of malignant cells to give

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the cytotoxic drugs, which then alkylate DNA. The new prodrugs were tested in in vitro cytotoxicity assays showing excellent QIC<sub>50</sub> values of 4800 and 4300 for  $(+)$ -12a and  $(+)$ -12b, respectively. The absolute configuration of precursor  $(+)$ -5 was determined by comparison of the experimental CD spectrum with the theoretically predicted CD spectra and by X-ray structure analysis.

cells by employing an antibody–enzyme conjugate. It is a prerequisite that the prodrug has a relatively low toxicity whereas the corresponding drug selectively formed from the prodrug at the cancer cells should have a very high cytotoxicity. For a successful application of ADEPT in the treatment of cancer we propose the following requirements: The drug should have a high cytotoxicity with an  $IC_{50}^1$  value of less than 10 nm and the QIC<sub>50</sub> should be above 1000  $[QIC_{50}$ =  $IC_{50}$  (prodrug)/ $IC_{50}$  (prodrug + corresponding enzyme)].<sup>[3]</sup>

A class of compounds which is very appropriate for ADEPT is characterized by the antibiotic CC-1065 (1) and the duocarmycins such as 2, which belong to the most potent antitumor agents discovered so  $far.^{[4]}$  CC-1065 (1) and duocarmycin SA (2) specifically bind to double-stranded DNA within AT-rich minor grooves and alkylate N-3 of particular adenine moieties.<sup>[5]</sup> CC-1065 (1) itself, however, is less suitable due to a delayed lethal liver toxicity,  $[6]$  whereas other compounds of this type such as 2 having a similar cytotoxicity do not show this effect.

Some time ago we have demonstrated that the formation of the spirocyclopropane moiety as the pharmacophoric group of CC-1065 (1) and duocarmycin SA (2) from a corre-

<sup>&</sup>lt;sup>1</sup> IC<sub>50</sub> refers to the drug concentration required for 50% inhibition of target cells.

sponding seco-compound can be halted by transforming its phenolic hydroxyl group into a glycoside as shown in 3 (Scheme 1).<sup>[7]</sup> Such a derivative can be hydrolyzed enzymatically using a glycohydrolase which liberates the drug. In this way, glycosidic seco-CBI-Q 3 and similar compounds bearing a bisindolyl carboxylic acid moiety as a DNA-binding subunit were developed by us for ADEPT. $[8, 9]$  However, major drawbacks in their application are their poor water solubility and difficulties in the preparation of sufficient amounts of especially 3 due to low yields in the last steps of the synthesis. Moreover, the compounds have been employed so far as diastereomeric mixtures since the chiral core was used as a racemic mixture in the glycosidation.



3: Prodrug

Scheme 1. Structures of  $(+)$ -CC-1065  $(1)$ ,  $(+)$ -duocarmycin SA  $(2)$ , and glycosidic prodrug 3.

Here we describe a new type of prodrugs which are easily accessible, have a much better water solubility, can be prepared in diastereomerically pure form, and moreover show higher  $QIC_{50}$  values, which make these prodrugs superior to all other compounds described so far for the use in ADEPT. A short communication of parts of this work has recently been published.<sup>[10]</sup>

# Results and Discussion

Synthesis and chromatographic resolution of the N-Bocmethyl-seco-CBI compound 5: N-Alkylation of the known iodonaphthylamine  $4^{[11]}$  with  $(E/Z)$ -1,3-dichloro-2-butene and subsequent radical cyclization employing nontoxic tristrimethylsilylsilane (TTMSS) and AIBN led to N-Bocmethyl-seco-CBI 5/6 (Scheme 2). In the ring formation, two stereogenic centers are formed, and since a facial differentiation does not occur, a 1:1 mixture of the diastereomers 5 and 6 was obtained as a racemic mixture. In vitro cytotoxicity tests showed that the diastereomers possess a considerable difference in their biological activity. Thus, compounds with a syn-orientation of the two hydrogens at the two stereogenic centers such as  $6$  (1S/R,10S/R) are not suitable for the development of prodrugs due to a rather low cytotoxicity of the corresponding seco-drugs, whereas compounds with the *anti*-orientation such as  $5 (1S/R, 10R/S)$  show appropriate properties.<sup>[8]</sup> The *syn*- and *anti*-diastereomers could be easily separated by chromatography on silica gel. For the synthesis of enantiopure  $(+)$ -5 the use of classical resolution methods was investigated. However, differential crystallization of diastereomeric salts with enantiopure acids such as tartaric acid or camphorsulfonic acid and preparation of diastereomeric compounds using enantiopure reagents were not successful due to the low stability of the corresponding free amine of rac-5. Therefore, we employed an effective chromatographic resolution on a semipreparative Chiralpak IA column, which allowed the separation of 50 mg racemic 5 per injection within 10 min. By this method  $(+)$ -5 and  $(-)$ -5 could be obtained with ee values of 99.9%. Finally, the benzylic ether moiety in  $(+)$ -5 was cleaved by catalytic transfer hydrogenation<sup>[12]</sup> to provide phenol  $(+)$ -7 as a precursor of diastereopure glycosidic prodrugs.



Scheme 2. a) NaH, DMF, RT, 1 h, then  $(E/Z)$ -1,3-dichloro-2-butene, DMF, RT, 2 h, 98%; b) TTMSS, AIBN, toluene, 80°C, 5 h, rac-5: 44%, rac-6: 42%; c) resolution of rac-5: Chiralpak IA  $(250 \times 20 \text{ mm}, \text{particle})$ size: 5  $\mu$ m), CH<sub>2</sub>Cl<sub>2</sub>/n-heptane 4:1, flow: 18 mLmin<sup>-1</sup>,  $\alpha$  = 2.05, (+)-5: 99.9% ee, (-)-5: 99.9% ee; d) Pd/C/NH<sub>4</sub>HCO<sub>2</sub>, THF, 40°C, 15 min, 93%; e) 4m HCl/EtOAc, RT, 3 h, then 3,5-dibromobenzoic acid, EDC·HCl, DMF, RT, 19 h, 63%.

# Analogues of the Antibiotic CC-1065 and Duocarmycins **FULL PAPER**

Determination of the absolute configuration of  $(+)$ -5 and  $(-)$ -5: The absolute configurations of the two enantiomers of 5 were independently determined by quantum chemical circular dichroism (CD) computations<sup>[13-15]</sup> and X-ray structure analysis. For the prediction of a reliable theoretical CD spectrum, in principle all possible conformational species that may influence the overall CD behavior had to be taken into consideration. For this purpose, two different approaches were applied: a comprehensive conformational analysis and, taking into account the high flexibility of the molecule, molecular dynamics (MD) simulations. Both calculations were arbitrarily started with the (1S,10R)-enantiomer of 5. The conformational analysis was performed on the semiempirical AM1 level,<sup>[16]</sup> revealing the existence of 15 conformers with energies not higher than  $3 \text{ kcal mol}^{-1}$  above the global minimum (Figure 1 and Table S1 in the Supporting Information). In the case of the molecular dynamics simulation, $[17]$  1000 structures were extracted in 0.5 ps intervals over a total MD time of 500 ps.



Figure 1. Results of the AM1 based conformational analysis of (1S,10R)- 5; a) global minimum geometry; b) set of 15 conformers within a range of 3 kcalmol<sup>-1</sup> above that global minimum.

Since halogen atoms are not parametrized within the semiempirical CNDO/S,<sup>[18]</sup> INDO/S,<sup>[19]</sup> and OM2 methods,<sup>[20]</sup> the chlorine atom in 5 had to be replaced by other appropriate groups. On the one hand an ethyl group as the closest no lone-pair electrons containing substituent and on the other hand a hydroxy group, which does possess an electron pair, were chosen. Based on the geometries found during the conformational analysis and the MD simulation, the chlorine atom was replaced by an ethyl substituent (to give structure

5 a) and, in a second approach, by a hydroxy group (i.e., structure 5b). The geometries thus obtained were submitted to CD calculations at the semiempirical  $\text{CNDO/S}^{[18]}$  level. For the 16 conformers obtained from the conformational analysis, CD calculations were also performed by the OM2[20] approach (Figure S2a, Supporting Information). Despite their identical absolute configuration, those two single conformers (Figure 2) that differed only in the position of the "freely" rotating phenyl ring, provided almost opposite CD spectra, once again demonstrating the necessity of considering all sufficiently populated conformational species and not only the global minimum structure.



Figure 2. Two single conformers,  $\bf{A}$  and  $\bf{B}$ , of (1S,10R)-5 that differ only in the orientation of the phenyl ring, and the corresponding CNDO/S based CD spectra.

The overall calculated CD curves were obtained by means of Boltzmann weighting of the single CD spectra, that is, according to the heat of formation of the respective conformer obtained during the conformational analysis (Figure 3 a), while for the MD simulated structures the respective single spectra were just arithmetically added up (Figure 3b). Reflection of these calculated CD spectra at the zero line generated the theoretical spectra predicted for the enantiomeric compounds  $(1R,10S)$ -5a and  $(1R,10S)$ -5b. The comparison of the calculated CD spectra of both, (1S,10R)- 5a and  $(1S,10R)$ -5b, with the measured CD curve of  $(+)$ -5 showed a good agreement in the decisive region of 200– 290 nm, while the broad doubled band between 300 and 380 nm was not entirely reproduced (Figure 3 a, left). On the other hand, the respective MD based CD spectra reflected this region correctly (Figure 3 b, left). Expectedly, the CD curves predicted for  $(1R,10S)$ -5a and  $(1R,10S)$ -5b behaved almost oppositely (Figure 3 a, b, right). By this way, the absolute configuration of  $(+)$ -5 was assigned to be  $(1S,10R)$ . Unexpectedly, CD calculations at the TDDFT/B3LYP/ TZVP[21–23] level gave less clear results (Figure S2b, Supporting Information).

These results were confirmed independently by structure elucidation using anomalous X-ray scattering of (+)-  $(1S,10R)$ -8, which was obtained from  $(+)$ - $(1S,10R)$ -5 by acid-catalyzed amine deprotection and subsequent 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (EDC·HCl) mediated coupling with 3,5-dibromobenzoic acid.[24]

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Figure 3. Determination of the absolute configuration of  $(+)$ -5 as  $(1S,10R)$  by comparison of the experimental CD curve (in acetonitrile) with the theoretically predicted CD spectra (CNDO/S) of  $5a$  and  $5b$ ; a) according to the conformational analysis; b) by using the MD method.

Synthesis of  $\beta$ -D-galactosidic prodrugs (+)-(1S,10R)-12 a–e: As already mentioned, it has been shown for CC-1065 (1) that the type of the DNA-binding unit connected to the pharmacophoric group has a considerable influence on the toxicity of the drug and on the water solubility. Moreover, we expected that this unit might also affect the  $QIC_{50}$ values. We therefore prepared five novel glycosidic prodrugs 12 a–e which differ in the side chain of the indole carboxylic acids **10 a–e**.<sup>[27,28]</sup>

For the synthesis of the  $\beta$ -D-galactosides (+)-(1S,10R)-12a–c, phenol  $(+)$ - $(1S,10R)$ -7 was treated with the trichloroacetimidate 9 of tetraacetylgalactose in the presence of  $BF_3 \cdot OEt_2$  using the Schmidt procedure (Scheme 3).<sup>[29]</sup> Since we were able to remove the protecting group at the nitrogen simultaneously during the glycosidation, a subsequent EDC·HCl-mediated addition of indole carboxylic acid hydrochlorides 10a–c was performed providing 11a–c. Finally, the acetate groups in  $11a-c$  were removed by solvolysis with sodium methoxide in methanol to give the desired  $\beta$ -D-galactoside prodrugs  $(+)$ - $(1S,10R)$ - $12a-c$  in 39–46% overall yield based on  $(+)$ - $(1S,10R)$ -7. Surprisingly, the use of the indole carboxylic acid hydrochlorides 10 d and e in the pro-

Scheme 3. Synthesis of  $\beta$ -D-galactosidic prodrugs (+)-(1S,10R)-12 a–e: a) 9, BF<sub>3</sub>·OEt<sub>2</sub>, CH<sub>2</sub>Cl<sub>2</sub>, 4 Å MS,  $-10^{\circ}C \rightarrow RT$ , 9 h; b) **10 a–c**, EDC·HCl, DMF, RT,  $20-22$  h; **10 d, e**, EDC·HCl, DMF, RT,  $20-22$  h, then PtO<sub>2</sub>·H<sub>2</sub>O/ H2, EtOH, RT, 5–16 h; c) NaOMe/MeOH, RT, 20–120 min; yields from  $(+)$ -7:  $(+)$ -12 a: 46%,  $(+)$ -12 b: 43%,  $(+)$ -12 c: 39%,  $(+)$ -12 d: 29%,  $(+)$ -12 e: 36%.

tocol described above provided exclusively the N-oxide 11 f and a mixture of 11e and g, respectively. However, reduction of the N-oxides using hydrogen in the presence of catalytic amounts of  $P_2H_2O$  followed by solvolysis of the acetate groups yielded the desired  $\beta$ -D-galactosidic compounds  $(+)$ -(1S,10R)-**12d** in 29% and  $(+)$ -(1S,10R)-**12e** in 36% yield over four steps.

Synthesis of seco-drug hydrochlorides  $(+)$ - $(1S,10R)$ -14 a–e: For a comparison of the cytotoxicity of the  $\beta$ -D-galactosidic prodrugs  $(+)$ - $(1S,10R)$ -12a–e in the presence of the enzyme  $\beta$ -D-galactosidase with the seco-drugs  $(+)$ - $(1S,10R)$ -14a–e containing a free phenolic hydroxy group, we synthesized the corresponding unstable indoline hydrochloride salt, which was subsequently reacted in an EDC-mediated coupling with indole carboxylic acid hydrochlorides 10 a–e to give  $(+)$ - $(1S,10R)$ -13 a–e (Scheme 4). These compounds were converted into their hydrochlorides using again 4m HCl/EtOAc. The debenzylation was then achieved under mild conditions using 10% Pd/C in the presence of ammoni-



Scheme 4. Synthesis of seco-drug hydrochlorides  $(+)$ - $(1S,10R)$ -14a-e: a) 4m HCl/EtOAc, RT, 3–3.5 h; b) 10 a–e, EDC·HCl, DMF, RT, 19–24 h; c) 4m HCl/EtOAc, RT, 2 h, then Pd/C/NH<sub>4</sub>HCO<sub>2</sub>, THF,  $40^{\circ}$ C, 20-120 min; yields from  $(+)$ -5:  $(+)$ -14a: 65%,  $(+)$ -14b: 57%,  $(+)$ -14c: 66%,  $(+)$ -14 d: 69%, (+)-14 e: 62%.

um formate<sup>[12]</sup> to yield the seco-drug hydrochlorides  $(+)$ -14a–e in a yield of  $57-69\%$  based on  $(+)$ -5. Direct debenzylation of  $(+)$ -13a to the corresponding phenol, by contrast, led to decomposition, which is probably due to deprotonation of the phenol moiety by the tertiary amine followed by cyclization and other unwanted side reactions. It is also noteworthy that the direct glycosidation of the seco-drug hydrochlorides, for example,  $(+)$ -14a using the Schmidt procedure was not successful. In contrast to the reaction of the galactoside of  $(+)$ -7 with 10d and e, the formation of Noxides was not observed in the synthesis of 14d and e.

In vitro cytotoxicity tests: The in vitro cytotoxicity assays were carried out in triplicate with coherent cells of the human bronchial carcinoma cell line A549 in six multiwell plates with concentrations of  $10^2$ ,  $10^3$ ,  $10^4$ , and  $10^5$  cells per cavity. Incubation with various concentrations of seco-drug hydrochlorides  $(+)$ -14a–e and  $\beta$ -D-galactosidic prodrugs  $(+)$ -12 a–e in the absence or in the presence of  $\beta$ -D-galactosidase was performed in ultraculture medium (Table 1). An important criterion is the comparison of the  $IC_{50}$  value of the seco-drug hydrochloride and the  $IC_{50}$  value of the galactosidic prodrug in the presence of  $\beta$ -D-galactosidase. If the  $IC_{50}$  values are similar one can assume that the seco-drug is formed from the prodrug and that the activity of the enzyme is not affected by the liberation of the seco-drug.

Table 1. In vitro cytotoxicity of  $\beta$ -p-galactosidic prodrugs (+)-12 a–e in the presence or absence of  $\beta$ -D-galactosidase and of the seco-drug hydrochlorides  $(+)$ -14a–e against human bronchial carcinoma cells  $(A549)$ <sup>[a]</sup>

Compound	Addition of	$IC_{50}$ [nM]	$QIC_{50}$
	$\beta$ -D-galactosidase		
$(+)$ -12a		$3.6 \times 10^{3}$	4800
$(+)$ -12a	$\pm$	0.75	
$(+)$ -14a		0.75	
$(+)$ -12 b		$9.4 \times 10^{2}$	4300
$(+)$ -12 b	$\ddot{}$	0.22	
$(+)$ -14 $b$		0.20	
$(+) - 12c$		$7.7 \times 10^{3}$	1300
$(+) - 12c$	$\, +$	5.9	
$(+) - 14c$		3.8	
$(+)$ -12 d		$1.5 \times 10^{3}$	600
$(+)$ -12 d	$\,^+$	2.5	
$(+)$ -14d		3.7	
$(+) - 12e$		$8.3 \times 10^{2}$	1100
$(+) - 12e$	$\ddot{}$	0.75	
$(+) - 14e$		0.80	

[a] Cells were exposed to various concentrations of the test substance for 24 h at  $37^{\circ}$ C; after 12 days of incubation the clone formation was compared with an untreated control assay and the relative clone forming rate was determined.  $\beta$ -D-galactosidase: Escherichia coli, 4 UmL<sup>-1</sup>.

The cytotoxicities found for all seco-drug hydrochlorides  $(+)$ -14a–e were similar to those observed for the corresponding glycosidic prodrugs  $(+)$ -12 a–e in the presence of the enzyme  $\beta$ -p-galactosidase, which indicates that the enzyme is not deactivated in the hydrolytic process. Except for  $(+)$ -12d, all prodrugs fortunately met the requirements for a successful use in ADEPT (QIC<sub>50</sub> > 1000; IC<sub>50</sub> (prodrug + enzyme)  $<$  10 nm). Prodrug (+)-12 a is the most potent one with an excellent  $QIC_{50}$  of 4800 and a high cytotoxicity of the corresponding seco-drug  $(+)$ -14a (IC<sub>50</sub>=  $0.75$  nm) as well as of the prodrug in presence of  $\beta$ -D-galactosidase (IC<sub>50</sub>=0.75 nm). Compound  $(+)$ -12**b** bearing an additional methoxy substituent at C-6 of the DNA-binding subunit is four times more toxic than  $(+)$ -12a but has a slightly lower QIC<sub>50</sub> of 4300. By contrast, prodrug  $(+)$ -12 c, which has no longer an N,N-dimethylaminoethoxy but an N,N-dimethylglycine substituent at C-5 of the indole moiety, shows a twofold decreased cytotoxicity compared with (+)- 12 a, also a decreased cytotoxicity of the corresponding seco-drug and a moderate  $QIC_{50}$  of 1300. Surprisingly, prodrug  $(+)$ -12e with a sterically demanding N-methylpiperidinyl-methoxy substituent is four times more toxic than (+)- 12a. In addition,  $(+)$ -12e shows the same cytotoxicity in the presence of the enzyme as it was found for  $(+)$ -12a in the presence of the enzyme resulting in a moderate  $QIC_{50}$  of 1100. Finally, prodrug  $(+)$ -12d bearing a morpholinoethoxy substituent, which is also sterically demanding, is two times more toxic than  $(+)$ -12a whereas prodrug  $(+)$ -12d in the presence of the enzyme shows a three times lower cytotoxicity. This is the reason for the low  $QIC_{50}$  of 600, which is in our opinion not sufficient for an application of  $(+)$ -12d in ADEPT.

As expected, the different QIC<sub>50</sub> values of our  $\beta$ -D-galactosidic prodrugs  $(+)$ -12a–e and the different cytotoxicity of

the seco-drug hydrochlorides  $(+)$ -14a–e are due to the nature of the DNA-binding indole subunit. In this context, Boger et al. have reported previously that substituents at C-5 of the DNA binding subunit have a pronounced effect on the rate and efficiency of DNA alkylation and the resulting biological potency of CC-1065 analogues, which is largely insensitive to the electronic character of the substituent but is sensitive to its size, rigid length, and shape.<sup>[30]</sup> This would explain why the different seco-drugs 14a–e have such a different cytotoxicity, but it does not explain the different  $QIC_{50}$ values ranging from 4800 to 600. Further work will thus concentrate on this aspect; we intend to investigate the mode of action of these compounds employing our newly developed ESI-HRMS based method $[31]$  for the determination of the DNA alkylation activity and selectivity.

## **Conclusion**

Ten enantio- and diastereopure (+)-anti-methyl-seco-CBI compounds  $[ (+)-12a-e$  and  $( +)-14a-e]$  bearing the DNAbinding subunits 10 a–e were prepared. In vitro cytotoxicity tests of these compounds against the human bronchial carcinoma cell line A549 revealed that all prodrugs except (+)- 12 d meet the requirements for a successful use in ADEPT. Moreover,  $\beta$ -D-galactosidic prodrugs (+)-12a and (+)-12b show excellent QIC<sub>50</sub> values of 4800 and 4300, respectively; thus, these substances are superior to all compounds described so far for the use in ADEPT. Besides this, the cytotoxicities found for all seco-drug hydrochlorides  $(+)$ -14a–e were similar to those observed for the corresponding glycosidic prodrugs (+)-12a–e in the presence of the enzyme  $\beta$ -Dgalactosidase, which indicates that the enzyme is not deactivated in the hydrolytic process. In addition, an advantage of all new compounds is their improved water solubility due to their solubilizing tertiary amino functionality as part of their DNA-binding subunit. Furthermore, resolution of precursor rac-5 and determination of the absolute configuration of  $(+)$ -(1S,10R)-5 by comparison of the experimental CD curve with the theoretically predicted CD spectra were reported. These results were confirmed independently by an X-ray structure analysis using anomalous scattering of the 3,5-dibromobenzoic acid amide  $(+)$ - $(1S,10R)$ -8.

## Experimental Section

General: All reactions were performed under argon in flame-dried flasks. All solvents were dried and distilled prior to use by usual laboratory methods. All reagents obtained from commercial sources were used without further purification. Thin-layer chromatography (TLC) was performed on precoated silica gel SIL G/UV<sub>254</sub> plates (Macherey-Nagel) and silica gel 60 (0.032–0.063 mm, Merck) was used for column chromatography. Phosphomolybdic acid in MeOH (PMA) or vanillin in methanolic sulphuric acid were used as staining reagents for TLC. UV spectra were taken in CH<sub>3</sub>CN or MeOH with a Perkin–Elmer Lambda 2 spectrometer. IR spectra were recorded as KBr pellets or as films with a Bruker IFS 25 spectrometer. Optical rotations were measured with a Perkin–Elmer 241 polarimeter in the solvent indicated. <sup>1</sup>H and <sup>13</sup>C NMR

spectra were recorded with Mercury-200, VXR-200, Unity 300, Inova 500, Unity Inova-600 (Varian) or AMX 300 (Bruker) spectrometers. Chemical shifts are reported in  $\delta$  (ppm) with tetramethylsilane (TMS) as internal standard. Multiplicities of  ${}^{13}$ C NMR peaks were determined with the APT pulse sequence. Mass spectra were measured with a Finnigan MAT 95, TSQ 7000 and LCQ instrument. HRMS was performed with a 7 T FTICR-MS APEX IV (Bruker). The following abbreviations are used in the text: EtOAc=ethyl acetate, PE=petroleum ether (b.p. 35–  $60°C$ ).

CD measurements: CD spectra of  $(+)$ - $(1S,10R)$ -5 were obtained on a J-715 spectrometer (Jasco) in a 0.2 mm quartz cuvette at room temperature within the range of 200–400 nm.

Computational methods: The conformational analysis of 5 was performed on a Linux AMD MP 2800+ workstation using the semiempirical AM1<sup>[16]</sup> method as implemented in the program package Gaussian 98.<sup>[32]</sup> The molecular dynamics (MD) simulation was performed at a virtual temperature of 500 K using the TRIPOS<sup>[17]</sup> force field, as implemented in the molecular modeling package SYBYL. The overall simulation time was 500 ps.

The single structures of 5a and **b** were created by the replacement of the chlorine atom of 5 by an ethyl and by a hydroxy group, respectively, using the program package Molden  $4.2^{[33]}$  The wave functions for the calculation of the rotational strengths for the electronic transitions from the ground state to the excited states were obtained by CNDO/S-CI[18] and OM2-CI<sup>[20]</sup> computations with a CI expansion including 576 and 900 singly occupied configurations, respectively, and the ground state determinant. These calculations were carried out on a Linux Pentium III workstation by the use of the BDZDO/MCDSPD<sup>[34]</sup> and MNDO99<sup>[35]</sup> program packages. The calculated rotational strengths were transformed into  $\Delta \varepsilon$  values and superimposed with a Gaussian band shape function. The CD spectra thus obtained were then UV-corrected<sup>[36]</sup> by 15 nm in the case of the conformational analysis, and by 5 nm for the MD based approach. Time-dependent DFT calculations of 35 excited states being lowest in energy were performed using the B3LYP<sup>[21,22]</sup> functional and a TZVP<sup>[23]</sup> basis set within the TURBOMOLE<sup>[37]</sup> suite of programs.

rac-(1S,10R)-5-Benzyloxy-3-(tert-butyloxycarbonyl)-1-(10-chloroethyl)-

1,2-dihydro-3H-benz[e]indole (rac-5): A solution of amine 4 (9.51 g, 20.0 mmol) in DMF (100 mL) was added to a suspension of NaH (60% in oil, 2.00 g, 50.0 mmol) in DMF (150 mL) and the resulting mixture was stirred for 1 h at room temperature. Then,  $(E/Z)$ -1,3-dichloro-2-butene (4.31 mL, 5.00 g, 38.4 mmol) was added and the reaction mixture stirred for further 2 h followed by addition of a saturated aqueous solution of NH<sub>4</sub>Cl (200 mL) and extraction with EtOAc ( $3 \times 250$  mL). The combined organic layers were washed with water  $(3 \times 250 \text{ mL})$  and brine (250 mL), dried (MgSO<sub>4</sub>) and the solvent was removed in vacuo. The resulting crude product was purified by column chromatography on silica gel (PE/ EtOAc 20:1) to provide  $(E/Z)$ -2-amino-4-benzyloxy-N-(tert-butyloxycarbonyl)-N-(3-chloro-2-butenyl)-1-iodonaphthalene as a white solid (11.0 g, 19.5 mmol, 98%).  $R_f = 0.33$ , 0.40 (PE/EtOAc 10:1); <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>):  $\delta$  = 1.32/1.58 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.81/2.03 (s, 3H, 4'-CH<sub>3</sub>), 3.77– 4.31 (m, 1H, 1'-H<sub>a</sub>), 4.41–4.64 (m, 1H, 1'-H<sub>b</sub>), 5.25 (br s, 2H, OCH<sub>2</sub>Ph), 5.65–5.90 (m, 1H, 2'-H), 6.65–6.88 (m, 1H, 3-H), 7.34–7.68 (m, 7H, 6-H, 7-H,  $5 \times Ph-H$ ), 8.15-8.37 ppm (m, 2H, 5-H, 8-H); <sup>13</sup>C NMR (50 MHz, CDCl<sub>3</sub>):  $\delta$  = 20.9/26.2 (C-4'), 28.3/28.5 (C(CH<sub>3</sub>)<sub>3</sub>), 46.5/47.8 (C-1'), 70.4/ 70.5 (OCH<sub>2</sub>), 80.5 (C(CH<sub>3</sub>)<sub>3</sub>), 94.9/95.2 (C-1), 107.1/107.8 (C-3), 121.6/ 122.8 (C-2'), 122.5 (2 signals) (C-5), 125.4 (C-4a), 126.2, 126.3, 127.3, (C-6, C-8), 128.1, 128.2, 128.5 (2 signals), 128.7 (2 signals)  $(5 \times Bn-CH)$ , 132.7/132.8 (C-7), 134.0/135.3 (C-3'), 135.3 (C-8a), 136.3/136.4 (Bn-C), 142.5/143.1 (C-2), 153.7/153.9 (C=O), 155.2/155.3 ppm (C-4); MS (DCI, 200 eV):  $m/z$  (%): 581.5 (100)  $[M^+ + NH_4]$ ; HRMS (ESI):  $m/z$ : calcd for  $C_{26}H_{27}ClINO_3Na: 586.0622$ ; found: 586.0616 [ $M^+$ +Na].

A thoroughly degassed solution of  $(E/Z)$ -2-amino-4-benzyloxy-N-(tertbutyloxycarbonyl)-N-(3-chloro-2-butenyl)-1-iodonaphthalene (4.00 g, 7.09 mmol) in toluene (120 mL) was treated with tris(trimethylsilyl)silane (2.41 mL, 7.80 mmol) and AIBN (291 mg, 1.77 mmol). Then, the stirred reaction mixture was heated to  $80^{\circ}$ C using a preheated oil bath and stirring was continued for 5 h. After cooling to room temperature the solvent was removed in vacuo and the two diastereomers were separated by

column chromatography on silica gel (PE/EtOAc  $40:1 \rightarrow 10:1$ ) to give the desired diastereomer rac-5 (1.37 g, 3.12 mmol, 44%) as a white solid.  $R_f$ =0.32 (PE/EtOAc 10:1); <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>):  $\delta$ =1.58-1.65 (m, 12H, C(CH<sub>3</sub>)<sub>3</sub>, 11-H<sub>3</sub>), 3.86 (ddd,  $J=9.4$ , 2×3.1 Hz, 1H, 1-H), 4.07  $(m, 1H, 2-H_a)$ , 4.34  $(m, 1H, 2-H_b)$ , 4.60  $(m, 1H, 10-H)$ , 5.26  $(s, 2H,$ OCH<sub>2</sub>Ph), 7.27-7.69 (m, 8H, 7-H, 8-H, 9-H, 5 × Ph-H), 7.87 (brs, 1H, 4-H), 8.30 ppm (d, J=8.0 Hz, 1H, 6-H); <sup>13</sup>C NMR (50 MHz, CDCl<sub>3</sub>):  $\delta$ = 23.7 (C-11), 28.5 (C(CH3)3), 46.0 (C-1), 50.7 (C-2), 60.2 (C-10), 70.2  $(OCH<sub>2</sub>), 80.9 (C(CH<sub>3</sub>), 96.3 (C-4), 115.2 (C-9b), 122.4 (C-5a), 122.1,$ 122.9, 123.6 (C-6, C-7, C-9), 127.3 (C-8), 127.6, 127.9, 128.5 (5×Bn-CH), 130.4 (C-9a), 136.9 (Bn-C), 142.0 (C-3a), 152.4 (C=O), 155.8 ppm (C-5); IR (KBr):  $\tilde{v} = 3445, 2977, 2928, 1698, 1626, 1580, 1455, 1410, 1367, 1338,$ 1272, 1147, 910, 846, 751 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.0 (4.442), 217.5 (4.388), 255.0 (4.845), 302.5 (3.966), 314.0 (4.051), 341.0 nm (3.537); MS (DCI, 200 eV): m/z (%): 455.5 (100) [M ++NH4], 438.5 (47) [M <sup>+</sup> +H]; HRMS (ESI):  $m/z$ : calcd for C<sub>26</sub>H<sub>28</sub>ClNO<sub>3</sub>Na: 460.1655; found: 460.1650  $[M^+ +Na]$ .

Chromatographic resolution of rac-5: A solution of rac-5 (1.10 g, 2.51 mmol) in a 1:1 mixture of *n*-heptane and CH<sub>2</sub>Cl<sub>2</sub> (22 mL) was separated consecutively (injection volume: 1 mL) by semipreparative HPLC (Chiralpak IA,  $250 \times 20$  mm, particle size: 5 um; *n*-heptane/CH<sub>2</sub>Cl<sub>2</sub> 4:1; flow: 18 mLmin<sup>-1</sup>; UV detector:  $\lambda = 250$  nm) to provide (+)-(1S,10R)-5  $(t_R = 5.2 \text{ min})$  and  $(-)(1R,10S)$ -5  $(t_R = 7.1 \text{ min})$ . The optical purity was determined by analytical HPLC (Chiralcel OD,  $250 \times 4.6$  mm, particle size: 10  $\mu$ m; *n*-hexane/*i*PrOH 99:1; flow: 0.8 mLmin<sup>-1</sup>; UV detector:  $\lambda$ = 254 nm): (+)-(1S,10R)-5: 99.9% ee ( $t_R$ =13.6 min); [ $\alpha_{\text{ID}}^{20} = +28.0$  ( $c$ =0.8 in CHCl<sub>3</sub>); (-)-(1R,10S)-5: 99.9% ee (t<sub>R</sub>=17.4 min); [ $\alpha$ ]<sup>20</sup> = -27.0 (c=  $0.8$  in CHCl $_2$ ).

### (+)-{(1S,10R)-3-(tert-Butyloxycarbonyl)-1-(10-chloroethyl)-5-hydroxy-

1,2-dihydro-3H-benz[e]indole}  $[(+)$ -(1S,10R)-7]:  $(+)$ -(1S,10R)-5 (197 mg, 450 mmol) was dissolved in freshly distilled THF (15 mL) and the resulting solution was warmed to 40 °C. Then, Pd/C  $(10\%, 96 \text{ mg})$  was added under stirring and a  $25\%$  (w/w) aqueous solution of  $NH_4HCO_2$ (0.96 mL) was added dropwise. After stirring for 15 min at  $40^{\circ}$ C, the solid was removed by filtration through Celite which was washed thoroughly with acetone (250 mL). The concentrated filtrate was purified by column chromatography (PE/EtOAc 30:1  $\rightarrow$  10:1) to give (+)-7 (146 mg, 420 µmol, 93%) as a white solid.  $R_f = 0.30$  (PE/EtOAc 5:1);  $[\alpha]_D^{20} =$  $+11.4$  (c=0.5 in CHCl<sub>3</sub>); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =1.55–1.64 (m, 12H, C(CH<sub>3</sub>)<sub>3</sub>, 11-H<sub>3</sub>), 3.80 (m, 1H, 1-H), 4.00 (m, 1H, 2-H<sub>a</sub>), 4.30 (m, 1H, 2-Hb), 4.54 (m, 1H, 10-H), 7.30 (m, 1H, 7-H), 7.44 (m, 1H, 8-H), 7.59 (d,  $J=8.3$  Hz, 1H, 9-H), 7.70–7.92 (2×brs, 2H, 4-H, OH), 8.12 ppm (d,  $J=8.3$  Hz, 1H, 6-H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta=23.8$  (C-11), 28.5 (C(CH<sub>3</sub>)<sub>3</sub>), 46.1 (C-1), 51.2 (C-2), 60.3 (C-10), 81.5 (C(CH<sub>3</sub>)<sub>3</sub>), 99.1 (C-4), 114.9 (C-9b), 121.7 (C-5a), 122.1, 122.6, 123.6 (C-6, C-7, C-9), 127.1 (C-8), 130.5 (C-9a), 141.4 (C-3a), 152.8 (C=O), 153.9 ppm (C-5); IR (KBr):  $\tilde{v} = 3380, 2976, 1686, 1629, 1585, 1412, 1342, 1249, 1147, 1044, 911,$ 853, 751 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.5 (4.245), 219.0 (4.216), 255.5 (4.869), 304.5 (3.908), 315.5 (3.973), 342.5 nm (3.485); MS (ESI): m/z  $(\%): 717(12) [2M^+ + \text{Na}], 370(21) [M^+ + \text{Na}];$  HRMS (ESI); m/z; calcd for  $C_{19}H_{22}CINO_{3}H$ : 348.1366; found: 348.1361  $[M^+ +H]$ 

 $(+)$ -{ $(1S,10R)$ -5-Benzyloxy-1-(10-chloroethyl)-3-[(3,5-dibromophenyl)carbonyl]-1,2-dihydro-3H-benz[e]indole} [(+)-8]: Benzyl ether (+)-5 (70.0 mg, 160  $\mu$ mol) was treated with 4 M HCl/EtOAc (10 mL) and stirred at room temperature for 3 h. The resulting solution was concentrated in vacuo and the residue was thoroughly dried under vacuum. Then, the residue was dissolved in dry DMF (10 mL) and the solution cooled to  $0^{\circ}$ C. EDC·HCl (92.0 mg, 480 µmol) and 3,5-dibromobenzoic acid (58.0 mg, 208 mmol) were added and the reaction mixture was allowed to warm to room temperature. After stirring for 19 h at this temperature, the solution was diluted with water (25 mL) and a saturated aqueous solution of NaHCO<sub>3</sub> (10 mL). The mixture was extracted with EtOAc  $(3 \times 50 \text{ mL})$ , the combined organic fractions were washed with brine  $(4 \times 100 \text{ mL})$ , dried  $(MgSO<sub>4</sub>)$  and the solvent was removed in vacuo. Purification by column chromatography on silica gel (PE/EtOAc 5:1) provided (+)-8 as pale yellow needles (60 mg, 100 µmol, 63%).  $R_f = 0.35$  (PE/EtOAc 5:1);  $[\alpha]_{\text{D}}^{20}$  = +13.7 (c=0.35, CHCl<sub>3</sub>); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO, 100 °C):  $\delta = 1.58$  (d,  $J = 6.7$  Hz, 3H, 11-H<sub>3</sub>), 4.08 (m, 1H, 1-H), 4.15 (m,

1H, 2-H<sub>a</sub>), 4.34 (dd,  $J=11.5$ , 9.2 Hz, 1H, 2-H<sub>b</sub>), 4.75 (dq,  $J=6.7$ , 3.0 Hz, 1H, 10-H), 5.19 (brs, 2H, OCH<sub>2</sub>), 7.31-7.62 (m, 8H, 4'-H, 7-H, 8-H, 5 x Bn-H), 7.79 (d, J=1.8 Hz, 2H, 2'-H, 6'-H), 7.92 (d, J=8.3 Hz, 1H, 9-H), 8.00 (m, 1H, 4-H), 8.23 ppm (d, J=8.3 Hz, 1H, 6-H); 13C NMR (75 MHz, [D<sub>6</sub>]DMSO, 100 °C):  $\delta = 22.9$  (C-11), 45.1 (C-1), 52.0 (C-2), 60.6 (C-10), 69.8 (OCH2), 97.7 (C-5a\*), 118.0, 122.3, 122.7, 123.5 (C-4', C-6, C-7, C-9, C-9a, C-9b\*), 126.8, 127.1, 127.4, 128.0 (C-8, 5 × Bn-CH), 128.3 (C-2', C-6'), 129.5 (C-3', C-5'), 134.7 (C-4), 136.2 (Bn-C), 139.9, 140.3 (C-1', C-3a), 154.2 (C-5), 163.8 ppm (C=O); IR (KBr):  $\tilde{v} = 3064$ , 2918, 1642, 1579, 1551, 1454, 1404 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 208.5 (4.750), 252.0 (4.584), 324.5 nm (4.113); MS (EI, 70 eV): m/z (%): 599 (14) [M <sup>+</sup>], 536 (33)  $[M^+$ -CHClCH<sub>3</sub>]; HRMS (EI):  $m/z$ : calcd for C<sub>28</sub>H<sub>22</sub>Br<sub>2</sub>ClNO<sub>2</sub>: 596.9706; found: 596.9706.

#### General procedure 1

Preparation of  $\beta$ -D-galactosidic prodrugs  $[ (+)-(1S,10R)-12a-c]$ : A solution of phenol (+)-(1S,10R)-7 in dry CH<sub>2</sub>Cl<sub>2</sub> (c=0.02m) was treated with molecular sieves  $(4 \text{ Å}; 0.8 \text{ g})$  and stirred for 30 min at room temperature. Then trichloroacetimidate 9 (1.03 equiv) was added, the reaction mixture cooled to  $-10^{\circ}\text{C}$  and a solution of BF<sub>3</sub>·OEt<sub>2</sub> (0.5 equiv) in dry CH<sub>2</sub>Cl<sub>2</sub>  $(c=0.10\text{ m})$  added dropwise. Stirring was continued for 4 h at this temperature followed by dropwise addition of additional  $BF_3$   $OE_5$  (3.0 equiv) in dry  $CH_2Cl_2$  ( $c=0.66$ M). The reaction mixture was allowed to warm to room temperature and was stirred for further 5 h. The solution was separated from the molecular sieves under argon by transfer cannula and the molecular sieves were washed with  $CH_2Cl_2$  (2 × 10 mL). The combined solutions were concentrated and the residue was thoroughly dried in vacuo.

The residue was dissolved in dry DMF  $(c=0.02 \text{ m})$  and the solution cooled to  $0^{\circ}$ C. EDC·HCl (3.0 equiv) and indole **10 a–c** (1.5 equiv) were added and the reaction mixture was allowed to warm to room temperature. After stirring for 20–22 h at this temperature, the solution was diluted with EtOAc (25 mL), water (25 mL) and a saturated aqueous solution of NaHCO<sub>3</sub> (25 mL). The mixture was extracted with EtOAc ( $4 \times 50$  mL), the combined organic fractions were washed with brine  $(4 \times 100 \text{ mL})$ , dried  $(MgSO<sub>4</sub>)$  and the solvent was removed in vacuo. Purification by column chromatography on silica gel  $(CH_2Cl_2/MeOH)$  provided tetraacetylglycosides  $(+)$ -11 a–c.

A solution of  $(+)$ -11a-c in dry MeOH  $(c=0.02\text{ m})$  was treated with a 5.4m solution of NaOMe in MeOH (2.0 equiv) at  $0^{\circ}$ C and the reaction mixture was allowed to warm to room temperature. After stirring for 30– 120 min at this temperature, the solution was diluted with MeOH (2 mL) and water (2 mL) and the mixture was adjusted to neutral pH by addition of ion-exchange resin (Amberlite-IR 120). The solution was separated from the ion-exchange resin by filtration and the ion-exchange resin was washed with MeOH (10 mL). The combined solutions were concentrated and the residue was purified by column chromatography  $(CH_2Cl_2/$ MeOH) to provide galactosides  $(+)$ -12a-c.

### $(+)$ -{ $[(1S,10R)-1-(10-Chloroethyl)-3-(5-(2-N,N-dimethvlaminoethoxy)-$

indol-2-yl)carbonyl]-1,2-dihydro-3H-benz[e]indol-5-yl]  $\beta$ -D-galactopyranoside}  $[ (+)$ - $(1S,10R)$ -12a]: According to GP 1 phenol  $(+)$ -7  $(130 \text{ mg})$ , 374 umol) in dry CH<sub>2</sub>Cl<sub>2</sub> (16 mL) was glycosylated with  $9$  (190 mg, 386 µmol) and  $BF_3$ ·OEt<sub>2</sub> (24 µL, 189 µmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.9 mL). Additional BF<sub>3</sub>·OEt<sub>2</sub> (142 µL, 1.12 mmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.7 mL) was added for N-Boc deprotection and the residue was then treated with EDC·HCl (215 mg, 1.12 mmol) and indole  $10a$  (160 mg, 561 µmol) in DMF (17 mL) for 20 h to give  $(+)$ -11a (168 mg, 208 µmol, 56%) after purification by column chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1) as a brown solid.  $R_f=0.43$ (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1); HRMS (ESI):  $m/z$ : calcd for C<sub>41</sub>H<sub>46</sub>ClN<sub>3</sub>O<sub>12</sub>H: 808.2843; found: 808.2843 [M<sup>+</sup>+H].

Solvolysis of  $(+)$ -11a (164 mg, 203 µmol) in MeOH (9 mL) was performed in 2 h with a 5.4m solution of NaOMe in MeOH  $(75 \mu L,$ 406 µmol). Purification by column chromatography on silica gel  $(CH_2Cl_2/$ MeOH 1:1) provided  $(+)$ -12a (106 mg, 166 µmol, 82%) as a pale yellow solid.  $R_f = 0.26$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 1:1);  $\left[\alpha\right]_D^{20} = +10.0$  ( $c = 0.2$  in DMSO);<br><sup>1</sup>H NMP (600 MHz, ID IDMSO);  $\lambda = 1.65$  (d,  $I = 6.6$  Hz, 3H, 11 H), 224 <sup>1</sup>H NMR (600 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 1.65 (d, J = 6.6 Hz, 3H, 11-H<sub>3</sub>), 2.24  $(s, 6H, NMe<sub>2</sub>)$ , 2.66  $(t, J=5.9 Hz, 2H, 2''-H<sub>2</sub>)$ , 3.44–3.61  $(m, 3H, 3'''-H,$ 5'''-H, 6'''-Ha), 3.63–3.72 (m, 1-H, 6'''-Hb), 3.76–3.86 (m, 2H, 2'''-H, 4'''- H), 4.07 (t, J=5.9 Hz, 2H, 1''-H2), 4.24 (m, 1H, 1-H), 4.58–4.71 (m, 3H,

 $2 \times OH$ , 2-H<sub>a</sub>), 4.75 (m, 1H, 2-H<sub>b</sub>), 4.82 (m, 1H, 10-H), 4.93 (m, 2H, 1<sup>'''</sup>-H, OH), 5.36 (br s, 1H, OH), 6.92 (dd, J=9.0, 2.2 Hz, 1H, 6'-H), 7.13– 7.19 (m, 2H, 3'-H, 4'-H), 7.37–7.46 (m, 2H, 7-H, 7'-H), 7.56 (m, 1H, 8- H), 7.95 (d, J=8.4 Hz, 1H, 9-H), 8.23 (br s, 1H, 4-H), 8.36 (d, J=8.4 Hz, 1H, 6-H), 11.64 ppm (brs, 1H, NH); <sup>13</sup>C NMR (150 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 23.4 (C-11), 45.5 (NMe<sub>2</sub>), 45.9 (C-1), 52.1 (C-2), 57.8 (C-2"), 59.6 (C-6'''), 61.3 (C-10), 66.3 (C-1''), 67.5, 70.4, 73.2, 75.2 (C-2''', C-3''', C-4''', C-5'''), 101.9 (C-4), 102.3 (C-1'''), 103.2 (C-4'), 105.4 (C-3'), 113.1 (C-7'), 115.8 (C-6'), 118.8 (C-5a), 123.0, 123.4, 123.6 (C-6, C-7, C-9, C-9b), 127.2 (C-8), 127.5 (C-3a'), 129.4, 130.9, 131.7 (C-2', C-7a', C-9a), 142.0 (C-3a), 153.0 (C-5'), 153.6 (C-5), 160.1 ppm (C=O); IR (KBr):  $\tilde{v} = 3386$ , 1624, 1590, 1515, 1464, 1415, 1267, 1232, 1075, 760 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 206.0 (4.644), 246.0 (4.322), 299.0 (4.487), 336.5 nm (4.424); MS (ESI):  $m/z$  (%): 1280.9 (14)  $[2M^+ + H]$ , 640.2 (100)  $[M^+ + H]$ ; HRMS (ESI):  $m/z$ : calcd for C<sub>33</sub>H<sub>38</sub>ClN<sub>3</sub>O<sub>8</sub>H: 640.2420; found: 640.2420 [M<sup>+</sup>  $+H1$ .

(+)-{[(1S,10R)-1-(10-Chloroethyl)-3-[(5-(2-N,N-dimethylaminoethoxy)-6  $methoxy-indol-2-yl)carbonyl-1,2-dihydro-3H-benz[e]indol-5-yl]$   $\beta$ -D-galactopyranoside}  $[(+)-(1S,10R)-12b]$ : According to GP 1, phenol  $(+)$ -7 (106 mg, 305 µmol) in dry  $CH_2Cl_2$  (14 mL) was glycosylated with 9 (155 mg, 314 µmol) and  $BF_3$ ·OEt, (19 µL, 152 µmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.5 mL). Additional  $BF_3$ ·OEt<sub>2</sub> (116  $\mu$ L, 914  $\mu$ mol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.4 mL) was added for N-Boc deprotection and the residue was then treated with EDC·HCl  $(175 \text{ mg}, 914 \text{ µmol})$  and indole  $10b$   $(144 \text{ mg},$ 457  $\mu$ mol) in DMF (14 mL) for 22 h to give (+)-11 b (128 mg, 153  $\mu$ mol,  $50\%$ ) after purification by column chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH) 10:1) as a brown solid.  $R_f$ =0.53 (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1); HRMS (ESI):  $m/z$ : calcd for  $C_{42}H_{48}CIN_3O_{13}H$ : 838.2957; found: 838.2948  $[M^+ +H]$ .

Solvolysis of  $(+)$ -11b (125 mg, 149 µmol) in MeOH (7 mL) was performed in 30 min with a 5.4m solution of NaOMe in MeOH (55  $\mu$ L, 298 µmol). Purification by column chromatography on silica gel  $\left(\text{CH}_2\text{Cl}_2\right)$ MeOH 1:1) provided  $(+)$ -12b (86 mg, 128 µmol, 86%) as a pale yellow solid.  $R_f = 0.27$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 1:1, 1% NEt<sub>3</sub>);  $[\alpha]_D^{20} = +2.0$  (c=0.4 in DMSO); <sup>1</sup>H NMR (600 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 1.66 (d, J = 6.7 Hz, 3 H, 11-H<sub>3</sub>), 2.25 (s, 6H, NMe<sub>2</sub>), 2.66 (t,  $J=6.0$  Hz, 2H, 2"-H<sub>2</sub>), 3.47 (m, 1H, 3'''-H), 3.53–3.59 (m, 2H, 5'''-H, 6'''-Ha), 3.67 (m, 1-H, 6'''-Hb), 3.77–3.83  $(m, 5H, 2'''$ -H, 4"'-H, OCH<sub>3</sub>), 4.05  $(t, J=6.0 \text{ Hz}, 2H, 1''$ -H<sub>2</sub>), 4.24  $(m, 1H, 1')$ 1-H), 4.54–4.77 (m, 4H,  $2 \times$  OH, 2-H<sub>2</sub>), 4.82 (m, 1H, 10-H), 4.85–4.99 (m, 2H, 1"'-H, OH), 5.32 (brs, 1H, OH), 6.98 (s, 1H, 7'-H), 7.14 (brs, 1H, 3'-H), 7.18 (s, 1H, 4'-H), 7.42 (t,  $J=7.7$  Hz, 1H, 7-H), 7.56 (t,  $J=7.6$  Hz, 1H, 8-H), 7.95 (d, J = 8.6 Hz, 1H, 9-H), 8.23 (brs, 1H, 4-H), 8.35 (d, J = 8.6 Hz, 1H, 6-H), 11.48 ppm (s, 1H, NH); <sup>13</sup>C NMR (150 MHz, [ $D_6$ ]DMSO):  $\delta = 23.4$  (C-11), 45.6 (NMe<sub>2</sub>), 46.0 (C-1), 52.0 (C-2), 55.6 (OCH3), 57.8 (C-2''), 59.6 (C-6'''), 61.3 (C-10), 67.2 (C-1''), 67.5 (C-4'''), 70.6 (C-2'''), 73.3 (C-3'''), 75.2 (C-5'''), 94.7 (C-7'), 101.9 (C-4), 102.3 (C-1'''), 104.7 (C-4'), 106.1 (C-3'), 118.6 (C-5a), 120.3 (C-3a'), 122.8 (C-9), 122.9 (C-9b), 123.4 (C-6), 123.5 (C-7), 127.2 (C-8), 128.9, 129.5 (C-2', C-9a), 131.8 (C-7a'), 142.2 (C-3a), 144.6 (C-5'), 149.4 (C-6'), 153.6 (C-5), 160.1 ppm (C=O); IR (KBr):  $\tilde{v} = 3386, 2929, 1614, 1590, 1514, 1464, 1417,$ 1307, 1267, 1202, 1153, 1076 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 205.5 (4.666), 305.5 (4.265), 346.5 nm (4.539); MS (ESI): m/z (%): 670.3 (100)  $[M^+ + H]$ ; HRMS (ESI):  $m/z$ : calcd for C<sub>34</sub>H<sub>40</sub>ClN<sub>3</sub>O<sub>9</sub>H: 670.2531; found: 670.2526  $[M^+ + H]$ .

(+)-{[(1S,10R)-1-(10-Chloroethyl)-3-[(5-(2-N,N-dimethylaminoacetylamino)-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz[e]indol-5-yl]  $\beta$ -D-galacto**pyranoside**  $[ (+)-(1S,10R)-12c]$ : According to GP 1 phenol  $(+)$ -7 (137 mg, 394 µmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (17 mL) was glycosylated with 9 (200 mg, 406 µmol) and  $BF_3$ ·OEt<sub>2</sub> (25 µL, 197 µmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.9 mL). Additional BF<sub>3</sub>·OEt<sub>2</sub> (150  $\mu$ L, 1.18 mmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.8 mL) was added for N-Boc deprotection and the residue was then treated with EDC·HCl (227 mg,  $1.18$  mmol) and indole  $10c$  (176 mg, 591  $\mu$ mol) in DMF (18 mL) for 21 h to give (+)-11 c (155 mg, 189  $\mu$ mol, 48%) after purification by column chromatography  $(CH_2Cl_2/MeOH)$ 25:1) as a pale brown solid.  $R_f=0.57$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1); HRMS (ESI):  $m/z$ : calcd for C<sub>41</sub>H<sub>45</sub>ClN<sub>4</sub>O<sub>12</sub>H: 821.2801; found: 821.2795 [M<sup>+</sup>  $+H$ ].

Solvolysis of  $(+)$ -11c  $(142 \text{ mg}, 173 \text{ µmol})$  in MeOH  $(6 \text{ mL})$  was performed in 30 min with a 5.4m solution of NaOMe in MeOH (64  $\mu$ L,

346 µmol). Purification by column chromatography on silica gel  $(CH<sub>2</sub>Cl<sub>2</sub>/$ MeOH 7:1  $\rightarrow$  3:1) and washing with *n*-pentane (5 × 15 mL) provided (+)-**12c** (93 mg, 142 µmol, 82%) as a yellow solid.  $R_f = 0.32$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1);  $[a]_D^{20} = +19.1$   $(c=0.35 \text{ in } DMSO)$ ; <sup>1</sup>H NMR (600 MHz, [ $D_6$ ]DMSO):  $\delta$  = 1.65 (d, J = 6.7 Hz, 3H, 11-H<sub>3</sub>), 2.31 (s, 6H, NMe<sub>2</sub>), 3.08 (s, 2H, 1''-H2), 3.45–3.52 (m, 1H, 3'''-H), 3.53–3.61 (m, 2H, 5'''-H, 6'''-Ha), 3.64–3.71 (m, 1H, 6'''-Hb), 3.77–3.85 (m, 2H, 2'''-H, 4'''-H), 4.25 (m, 1H, 1-H), 4.55-4.66 (m, 3H, 2-H<sub>a</sub>, 2×OH), 4.72-4.90 (m, 3H, 2-H<sub>b</sub>, 10-H, OH), 4.93 (m, 1H, 1"'-H), 5.31 (brs, 1H, OH), 7.25 (brs, 1H, 3'-H), 7.37-7.46 (m, 3H, 6'-H, 7'-H, 7-H), 7.57 (m, 1H, 8-H), 7.96 (d, J=8.4 Hz, 1H, 9-H), 8.13 (br s, 1H, 4'-H), 8.24 (br s, 1H, 4-H), 8.36 (d, J=8.6 Hz, 1H, 6- H), 9.60 (s, 1H, NH), 11.70 ppm (s, 1H, NH); 13C NMR (150 MHz, [ $D_6$ ]DMSO):  $\delta$  = 23.4 (C-11), 45.3 (NMe<sub>2</sub>), 46.0 (C-1), 52.1 (C-2), 59.6 (C-6'''), 61.4 (C-10), 63.2 (C-1''), 67.5 (C-4'''), 70.6 (C-2'''), 73.3 (C-3'''), 75.2 (C-5'''), 101.9 (C-4), 102.3 (C-1'''), 105.8 (C-3'), 112.1 (C-4'), 112.2 (C-7'), 118.6 (C-6'), 119.0 (C-5a), 122.9, 123.1, 123.4, 123.7 (C-6, C-7, C-9, C-9b), 127.1 (C-3a'), 127.3 (C-8), 129.5, 131.1, 131.5, 133.3 (C-2', C-5', C-7a', C-9a), 142.0 (C-3a), 153.6 (C-5), 160.1 (C=O), 168.2 ppm (C=O); IR (KBr):  $\tilde{v}$  = 3386, 2928, 1625, 1591, 1525, 1467, 1416, 1335, 1313, 1267, 1232 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 206.0 (4.505), 233.0 (4.470), 259.0 (4.488), 299.5 (4.424), 336.5 nm (4.382); MS (ESI): m/z (%): 653.2 (100) [M <sup>+</sup> +H]; HRMS (ESI):  $m/z$ : calcd for C<sub>33</sub>H<sub>37</sub>ClN<sub>4</sub>O<sub>8</sub>H: 653.2378; found: 653.2373  $[M^+ + H]$ .

 $(+)$ - $\{[(1S,10R)-1-(10-Chloroethvl)-3-(5-(2-morpholin-4-vl-ethoxv)-indol 2-y$ l)-carbonyl]1,2-dihydro-3H-benz[e]indol-5-yl]  $\beta$ -D-galactopyranoside}  $[ (+)$ -(1S,10R)-12d]: A solution of phenol (+)-7 (142 mg, 408 µmol) in dry  $CH_2Cl_2$  (18 mL) was treated with molecular sieves (4 Å; 0.8 g) and stirred for 30 min at room temperature. After that, trichloroacetimidate 9 (207 mg, 420 mmol) was added and the reaction mixture was cooled to  $-10$ °C. Then, a solution of BF<sub>3</sub>·OEt<sub>2</sub> (26  $\mu$ L, 205  $\mu$ mol) in dry CH<sub>2</sub>Cl<sub>2</sub> (2.0 mL) was added dropwise and stirring was continued for 4 h at this temperature. After dropwise addition of additional  $BF_3 \cdot OEt_2$  (155 µL, 1.22 mmol) in dry  $CH_2Cl_2$  (1.9 mL) the reaction mixture was allowed to warm to room temperature and was stirred for further 5 h at room temperature. The solution was separated from the molecular sieves under argon by transfer cannula and the molecular sieves were washed with  $CH<sub>2</sub>Cl<sub>2</sub>$  (2 × 10 mL). The combined solutions were concentrated and the residue was thoroughly dried in vacuo.

The residue was then dissolved in dry DMF (19 mL) and the solution cooled to  $0^{\circ}$ C. EDC·HCl (235 mg, 1.23 mmol) and indole  $10d$  (200 mg, 612 mmol) were added and the reaction mixture was allowed to warm to room temperature. After stirring for 20 h at this temperature, the solution was diluted with EtOAc (25 mL), water (25 mL) and a saturated aqueous solution of  $NaHCO<sub>3</sub>$  (25 mL). The mixture was extracted with EtOAc  $(4 \times 50 \text{ mL})$ , the combined organic fractions were washed with brine  $(4 \times 100 \text{ mL})$ , dried (MgSO<sub>4</sub>) and the solvent was removed in vacuo. Purification by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH) 10:1) provided *N*-oxide  $(+)$ -11 **f**  $(142 \text{ mg}, 164 \text{ µmol}, 40\%)$  as a pale brown solid.  $R_f = 0.15$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1): HRMS (ESI):  $m/z$ : calcd for  $C_{43}H_{48}CIN_3O_{14}H$ : 866.2903; found: 866.2898  $[M^+ + H]$ .

A solution of N-oxide  $(+)$ -11 f  $(67 \text{ mg}, 77 \text{ µmol})$  was then dissolved in dry EtOH (15 mL) and hydrogenated (balloon) over PtO<sub>2</sub>·H<sub>2</sub>O (81%, 6 mg) for 5 h at room temperature. The solid was removed by filtration through Celite which was washed thoroughly with MeOH (50 mL) and the concentrated filtrate was purified by column chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1) to provide  $(+)$ -11d (53 mg, 62 µmol, 81%) as a yellow solid.  $R_f=0.69$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1); HRMS (ESI):  $m/z$ : calcd for C<sub>43</sub>H<sub>48</sub>ClN<sub>3</sub>O<sub>13</sub>H: 850.2954; found: 850.2948 [M<sup>+</sup>+H].

Then, a solution of  $(+)$ -11d (51 mg, 60 µmol) in dry MeOH (6 mL) was treated with a 5.4m solution of NaOMe in MeOH (22  $\mu$ L, 120  $\mu$ mol) at  $0^{\circ}$ C and the reaction mixture was allowed to warm to room temperature. After stirring for 20 min at this temperature, the solution was diluted with MeOH (2 mL) and water (2 mL) and the mixture was adjusted to neutral pH by addition of ion-exchange resin (Amberlite-IR 120). The solution was separated from the ion-exchange resin by filtration and the ion-exchange resin was washed with MeOH (10 mL). The combined solutions were concentrated and the residue was purified by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1  $\rightarrow$  4:1). The obtained solid

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was washed with *n*-pentane  $(4 \times 15 \text{ mL})$  to provide galactoside  $(+)$ -12d (37 mg, 54 µmol, 90%) as a yellow solid.  $R_f = 0.19$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1);  $[\alpha]_{\text{D}}^{20} = +4.4$  (c=0.25 in DMSO); <sup>1</sup>H NMR (600 MHz, [D<sub>6</sub>]DMSO):  $\delta$ = 1.65 (d,  $J=6.6$  Hz, 3H, 11-H<sub>3</sub>), 2.48–2.52 (m, 4H, 3<sup>*m*</sup>-H<sub>2</sub>, 5<sup>*m*</sup>-H<sub>2</sub>), 2.73 (t,  $J=5.8$  Hz, 2H, 2"-H<sub>2</sub>), 3.45-3.70 (m, 8H, 2"'-H<sub>2</sub>, 6"'-H<sub>2</sub>, 3""-H, 5""-H,  $6''''$ -H<sub>2</sub>), 3.76–3.85 (m, 2H, 2""-H, 4""-H), 4.11 (t,  $J=5.8$  Hz, 2H, 1"-H<sub>2</sub>), 4.25 (m, 1H, 1-H), 4.52-4.65 (m, 3H, 2-H<sub>a</sub>, 2×OH), 4.72-4.79 (m, 1H, 2-H<sub>b</sub>), 4.79–4.88 (m, 2H, 10-H, OH), 4.92 (m, 1H, 1<sup>'''</sup>-H), 5.30 (brs, 1H, OH), 6.92 (dd, J=8.9, 2.4 Hz, 1H, 6'-H), 7.14–7.20 (m, 2H, 3'-H, 4'-H), 7.40 (d, J=8.8 Hz, 1H, 7'-H), 7.43 (m, 1H, 7-H), 7.57 (m, 1H, 8-H), 7.96  $(d, J=8.4 \text{ Hz}, 1\text{ H}, 9\text{-H}), 8.22 \text{ (brs, 1H, 4-H)}, 8.36 \text{ (d, } J=8.5 \text{ Hz}, 1\text{ H}, 6\text{-}10)$ H), 11.63 ppm (s, 1H, NH); <sup>13</sup>C NMR (150 MHz,  $[D_6]$ DMSO):  $\delta$  = 23.4 (C-11), 46.0 (C-1), 52.1 (C-2), 53.6 (C-3''', C-5'''), 57.1 (C-2''), 59.5 (C-6''''), 61.3 (C-10), 65.9 (C-1''), 66.2 (C-2''', C-6'''), 67.5 (C-4''''), 70.6 (C-2''''), 73.2 (C-3''''), 75.1 (C-5''''), 101.9 (C-4), 102.3 (C-1''''), 103.4 (C-4'), 105.4 (C-3'), 113.2 (C-7'), 115.9 (C-6'), 118.9 (C-5a), 122.9, 123.0, 123.4, 123.7 (C-6, C-7, C-9, C-9b), 127.3, 127.5 (C-3a', C-8), 129.5, 130.9, 131.7 (C-2', C-7a', C-9a), 142.0 (C-3a), 152.9 (C-5'), 153.6 (C-5), 160.1 ppm (C= O); IR (KBr):  $\tilde{v} = 3385, 2924, 1624, 1590, 1516, 1462, 1416, 1290, 1267,$ 1233, 1071 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 205.5 (4.665), 246.5 (4.336), 299.0 (4.496), 336.0 nm (4.433); MS (ESI): m/z (%): 1385.1 (13) [2M <sup>+</sup> +Na], 704.3 (100) [M ++Na], 682.3 (32) [M ++H]; HRMS (ESI): m/z: calcd for  $C_{35}H_{40}CN_3O_9H$ : 682.2531; found: 682.2526 [ $M^+$ +H].

# $(+)$ -{ $[(1S,10R)-1-(10-Chloroethvl)-3-(5-(1-methvlp.4-vl-me-1)(2S,10R)-1-(10-Chloroethvl)-3-(5-(1-methvlp.4-vl-me-1)(2S,10R)-1-(10-Chloroethvl)-3-(5-(1-methvlp.4-vl-me-1)(2S,10R)-1)]$ thoxy)-indol-2-yl)-carbonyl]-1,2-dihydro-3-benz[e]indol-5-yl]  $\beta$ -D-galacto-

**pyranoside**}  $[ (+)$ - $(1S,10R)$ -12 e]: A solution of phenol  $(+)$ -7  $(134 \text{ mg})$ , 385 µmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (17 mL) was treated with molecular sieves (4 Å; 0.8 g) and stirred for 30 min at room temperature. Trichloroacetimidate 9 (196 mg, 398 µmol) was added and the reaction mixture was cooled to  $-10$ °C. Then, a solution of BF<sub>3</sub>·OEt<sub>2</sub> (25 µL, 197 µmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (1.9 mL) was added dropwise and stirring was continued for 4 h at this temperature. After dropwise addition of additional  $BF_3$ ·OEt<sub>2</sub> (146 µL, 1.15 mmol) in dry  $CH_2Cl_2$  (1.8 mL) the reaction mixture was allowed to warm to room temperature and was stirred for further 5 h at room temperature. The solution was separated from the molecular sieves under argon by transfer cannula and the molecular sieves were washed with CH<sub>2</sub>Cl<sub>2</sub> ( $2 \times 10$  mL). The combined solutions were concentrated and the residue was thoroughly dried in vacuo.

The residue was then dissolved in dry DMF (18 mL) and the solution cooled to  $0^{\circ}$ C. EDC·HCl (222 mg, 1.16 mmol) and indole  $10e$  (188 mg, 579 µmol) were added and the reaction mixture was allowed to warm to room temperature. After stirring for 20 h at this temperature, the solution was diluted with EtOAc (50 mL), water (50 mL) and a saturated aqueous solution of  $NaHCO<sub>3</sub>$  (50 mL). The mixture was extracted with EtOAc  $(4 \times 50 \text{ mL})$ , the combined organic fractions were washed with brine  $(4 \times 100 \text{ mL})$ , dried  $(MgSO<sub>4</sub>)$  and the solvent was removed in vacuo. Purification by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH  $10:1 \rightarrow 5:1$ ) provided (+)-11 e (65 mg, 77 µmol, 20%) as a pale brown solid and N-oxide  $(+)$ -11g (110 mg, 127 µmol, 33%) as a pale brown solid. (+)-11 e:  $R_f = 0.27$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1); HRMS (ESI):  $m/z$ : calcd for C<sub>44</sub>H<sub>50</sub>ClN<sub>3</sub>O<sub>12</sub>H: 848.3161; found: 848.3156 [M<sup>+</sup>+H]; N-oxide (+)-11g:  $R_f = 0.13$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1); HRMS (ESI):  $m/z$ : calcd for  $C_{44}H_{50}CIN_3O_{13}H: 864.3110$ ; found: 864.3105  $[M^+ +H]$ .

A solution of N-oxide  $(+)$ -11g (40 mg, 46 µmol) was then dissolved in dry EtOH (6 mL) and hydrogenated (balloon) over  $PtO_2·H_2O$  (81%, 6 mg) for 16 h at room temperature. The solid was removed by filtration through Celite which was washed thoroughly with MeOH (50 mL) and the concentrated filtrate was purified by column chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1) to provide  $(+)$ -11e  $(24 \text{ mg}, 28 \text{ µmol}, 61\%)$  as a yellow solid.

Then, a solution of  $(+)$ -11e (74 mg, 87 µmol) in dry MeOH (6 mL) was treated with a 5.4m solution of NaOMe in MeOH (32 µL, 120 µmol) at  $0^{\circ}$ C and the reaction mixture was allowed to warm to room temperature. After stirring for 30 min at this temperature, the solution was diluted with MeOH (2 mL) and water (2 mL) and the mixture was adjusted to neutral pH by addition of ion-exchange resin (Amberlite-IR 120). The solution was separated from the ion-exchange resin by filtration and the ion-exchange resin was washed with MeOH (10 mL). The combined solu-

tions were concentrated and the residue was purified by column chromatography on silica gel  $(CH_2Cl_2/MeOH 5:1)$ . The obtained solid was washed with *n*-pentane  $(5 \times 15 \text{ mL})$  to provide galactoside  $(+)$ -12d (53 mg, 78 µmol, 90%) as a pale yellow solid.  $R_f=0.22$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 1% NEt<sub>3</sub>);  $[\alpha]_D^{20} = +4.8$  (c=0.25 in DMSO); <sup>1</sup>H NMR (600 MHz, [D<sub>6</sub>]DMSO, 100°C):  $\delta$  = 1.40–1.50 (m, 2H, 3"'-H<sub>ax</sub>, 5"'-H<sub>ax</sub>), 1.65 (d, J = 6.7 Hz, 3H, 11-H3), 1.77–1.86 (m, 3H, 3'''-Heq, 4'''-H, 5'''-Heq), 2.14 (m, 2H, 2'''-Hax, 6'''-Hax), 2.29 (s, 3H, NCH3), 2.87–2.93 (m, 2H, 2'''-Heq, 6'''-  $H_{eq}$ ), 3.15 (brs, H<sub>2</sub>O, 4×OH), 3.47–3.51, 3.52–3.56, 3.60–3.64, 3.68–3.73  $(4 \times m, 4H, 3''''$ -H, 5""-H, 6""-H<sub>2</sub>), 3.81-3.92 (m, 4H, 1"-H<sub>2</sub>, 2""-H, 4""-H), 4.22 (m, 1H, 1-H), 4.63–4.73 (m, 2H, 2-H2), 4.81 (m, 1H, 10-H), 4.92  $(d, J=7.6 \text{ Hz}, 1 \text{ H}, 1''''$ -H), 6.93 (m, 1H, 6'-H), 7.09, 7.18 (2×brs, 2H, 3'-H, 4'-H), 7.40–7.45 (m, 2H, 7-H, 7'-H), 7.56 (m, 1H, 8-H), 7.93 (d, J= 8.4 Hz, 1H, 9-H), 8.17 (brs, 1H, 4-H), 8.37 (d,  $J=8.6$  Hz, 1H, 6-H), 11.31 ppm (s, 1H, NH); <sup>13</sup>C NMR (150 MHz,  $[D_6]$ DMSO):  $\delta = 23.4$  (C-11), 28.1 (C-3''', C-5'''), 34.5 (C-4'''), 45.5 (NCH3), 46.0 (C-1), 52.1 (C-2), 54.5 (C-2''', C-6'''), 59.6 (C-6''''), 61.3 (C-10), 67.5 (C-4''''), 70.6 (C-2''''), 72.5 (C-1''), 73.3 (C-3''''), 75.2 (C-5''''), 101.9 (C-4), 102.3 (C-1''''), 103.4 (C-4'), 105.4 (C-3'), 113.2 (C-7'), 115.8 (C-6'), 118.9 (C-5a), 122.9, 123.0, 123.4, 123.7 (C-6, C-7, C-9, C-9b), 127.3, 127.5 (C-3a', C-8), 129.5, 130.9, 131.7 (C-2', C-7a', C-9a), 142.0 (C-3a), 153.2 (C-5'), 153.6 (C-5), 160.2 ppm (C=O); IR (KBr):  $\tilde{v} = 3384, 2926, 1624, 1590, 1515, 1466, 1413,$ 1267, 1234 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 205.0 (4.618), 245.5 (4.270), 299.0 (4.434), 337.0 nm (4.368); MS (ESI): m/z (%): 702.3 (12) [M <sup>+</sup> +Na], 680.3 (100)  $[M^+ + H]$ ; HRMS (ESI):  $m/z$ : calcd for  $C_{36}H_{42}CIN_3O_8H$ : 680.2739, found: 680.2733  $[M^+ +H]$ .

### General procedure 2

**Preparation of (+)-(1S,10R)-13 a–e:** Benzyl ether  $(+)$ -5 was treated with 4m HCl/EtOAc (14 mL) and stirred at room temperature for 3–3.5 h. The resulting solution was concentrated in vacuo and the residue was thoroughly dried under vacuum. Then, the residue was dissolved in dry DMF (10 mL) and the solution cooled to  $0^{\circ}$ C. EDC·HCl (3.0 equiv) and indole 10 (1.3 equiv) were added and the reaction mixture was allowed to warm to room temperature. After stirring for 19–24 h at this temperature, the solution was diluted with EtOAc (50 mL), water (50 mL) and a saturated aqueous solution of NaHCO<sub>3</sub> (50 mL). The mixture was extracted with EtOAc  $(4 \times 50 \text{ mL})$ , the combined organic fractions were washed with brine  $(4 \times 100 \text{ mL})$ , dried  $(MgSO<sub>4</sub>)$  and the solvent was removed in vacuo. Purification by column chromatography on silica gel  $(CH<sub>2</sub>Cl<sub>2</sub>/MeOH)$  provided  $(+)$ -13.

(+)-{(1S,10R)-5-Benzyloxy-1-(10-chloroethyl)-3-[(5-(2-N,N-dimethylaminoethoxy)-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz[e]indole}  $[(+)$ - $(1S,10R)$ -13a]: According to GP 2 benzyl ether  $(+)$ -5 (200 mg, 457 µmol) was stirred in 4m HCl/EtOAc for 3.5 h at room temperature. The residue was then treated with EDC·HCl (263 mg, 1.37 mmol) and indole 10 a (169 mg, 594  $\mu$ mol) in DMF for 19 h at room temperature. Purification of the crude product by column chromatography on silica gel  $(CH_2Cl_2/$ MeOH 10:1) provided  $(+)$ -13a (196 mg, 345 µmol, 76%) as a pale brown solid.  $R_f = 0.40 \text{ (CH}_2\text{Cl}_2/\text{MeOH 10:1});$   $[\alpha]_D^{20} = +43.2 \text{ (c = 0.5 in CHCl}_3);$ <br><sup>1</sup>H NMP (200 MHz, CDCL):  $\delta = 1.62 \text{ (d } I = 6.8 \text{ Hz}$ , 2H, 11 H), 2.37 (s) <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  = 1.62 (d, J = 6.8 Hz, 3H, 11-H<sub>3</sub>), 2.37 (s, 6H, NMe<sub>2</sub>), 2.77 (t,  $J=5.7$  Hz, 2H, 2<sup>''</sup>-H<sub>2</sub>), 3.88–3.97 (m, 1H, 1-H), 4.10 (t, J=5.7 Hz, 2H, 1''-H2), 4.46–4.60 (m, 2H, 2-Ha, 10-H), 4.84 (m, 1H, 2- H<sub>b</sub>), 5.24 (m, 2H, OCH<sub>2</sub>Ph), 6.94–7.04 (m, 2H, 3'-H, 6'-H), 7.12 (d,  $J=$ 2.2 Hz, 1H, 4'-H), 7.28-7.44, 7.45-7.55 (2 x m, 8H, 7-H, 7'-H, 8-H, 5 x Ph-H), 7.67 (d,  $J=8.3$  Hz, 1H, 9-H), 8.18 (brs, 1H, 4-H), 8.35 (d,  $J=8.3$  Hz, 1H, 6-H), 9.81 ppm (brs, 1H, NH); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  = 24.0  $(C-11)$ , 45.8 (NMe<sub>2</sub>), 47.5 (C-1), 53.6 (C-2), 58.3 (C-2"), 59.9 (C-10), 66.5  $(C-1'')$ , 70.3  $(OCH<sub>2</sub>Ph)$ , 98.2  $(C-4)$ , 103.5  $(C-4')$ , 105.9  $(C-3')$ , 112.7  $(C-7')$ , 117.1, 117.2 (C-6', C-5a), 122.5, 123.7, 123.7, 123.8 (C-6, C-7, C-9, C-9b), 127.4, 127.6, 127.9, 128.1, 128.5 (C-3a', C-8,  $5 \times Bn$ -CH), 130.0, 130.8, 131.4 (C-2', C-7a', C-9a), 136.7 (Bn-C), 142.4 (C-3a), 153.8 (C-5'), 155.6 (C-5), 160.5 ppm (C=O); IR (KBr):  $\tilde{v} = 3452, 2937, 1621, 1518, 1456,$ 1414 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.0 (4.826), 249.5 (4.434), 290.0 (4.446), 300.0 (4.606), 339.5 nm (4.519); MS (EI, 70 eV): m/z (%): 567.4 (11)  $[M^+]$ ; HRMS (EI):  $m/z$ : calcd for C<sub>34</sub>H<sub>34</sub>ClN<sub>3</sub>O<sub>3</sub>: 567.2289; found: 567.2289.

(+)-{(1S,10R)-5-Benzyloxy-1-(10-chloroethyl)-3-[(5-(2-N,N-dimethylaminoethoxy)-6-methoxy-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz[e]in-

dole}  $[(+)-(1S,10R)-13b]$ : According to GP 2, benzyl ether  $(+)$ -5 (150 mg, 342 mmol) was stirred in 4m HCl/EtOAc for 3 h at room temperature. The residue was then reacted with EDC·HCl (197 mg, 1.03 mmol) and indole 10b (140 mg, 445 µmol) in DMF for 20 h at room temperature. Purification of the crude product by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1) provided  $(+)$ -13b (134 mg, 224 µmol, 66%) as a pale brown solid.  $R_f = 0.46$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1);  $[\alpha]_D^{20} =$ +61.7 (c=0.3 in CHCl<sub>3</sub>); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$ =1.60 (d, J= 6.7 Hz, 3H, 11-H<sub>3</sub>), 2.35 (s, 6H, NMe<sub>2</sub>), 2.80 (t,  $J=6.1$  Hz, 2H, 2"-H<sub>2</sub>), 3.28 (s, 3H, OCH3), 3.89–3.98 (m, 1H, 1-H), 4.09 (t, J=6.1 Hz, 2H, 1''- H<sub>2</sub>), 4.48–4.65 (m, 2H, 2-H<sub>a</sub>, 10-H), 4.78–4.88 (m, 1H, 2-H<sub>b</sub>), 5.26 (m, 2H, OCH<sub>2</sub>Ph), 6.71 (s, 1H, 7'-H), 6.98 (d,  $J=1.5$  Hz, 1H, 3'-H), 7.07 (s, 1H, 4'-H), 7.22-7.43, 7.45-7.56 ( $2 \times m$ , 7H, 7-H, 8-H,  $5 \times Ph-H$ ), 7.67 (d,  $J=8.2$  Hz, 1H, 9-H), 8.35 (d,  $J=8.3$  Hz, 1H, 6-H), 8.39 (brs, 1H, 4-H), 10.68 ppm (brs, 1H, NH); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  = 23.8 (C-11), 45.8 (NMe<sub>2</sub>), 47.4 (C-1), 53.5 (C-2), 55.2 (OCH<sub>3</sub>), 58.0 (C-2"), 59.7 (C-10), 67.4 (C-1''), 70.4 (OCH2Ph), 93.9 (C-7'), 98.5 (C-4), 104.8 (C-4'), 106.5 (C-3'), 117.3 (C-5a), 120.5 (C-3a'), 122.4 (C-9), 123.5, 123.6, 123.9 (C-6, C-7, C-9b), 127.5 (2 signals), 127.9, 128.5, (C-8, 5 x Bn-CH), 128.7, 129.9, 132.3 (C-2', C-7a', C-9a), 136.6 (Bn-C), 142.7 (C-3a), 145.0 (C-5'), 150.4 (C-6'), 155.5 (C-5), 160.5 ppm (C=O); IR (KBr):  $\tilde{v} = 3256$ , 2938, 1625, 1585, 1514, 1459, 1405, 1306 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 206.5 (4.802), 245.0 (4.340), 307.0 (4.350), 349.0 nm (4.608); MS (ESI): m/z (%): 1217.0 (19)  $[2M^+ +Na]$ , 598.2 (100)  $[M^+ +H]$ ; HRMS (ESI):  $m/z$ : calcd for  $C_{35}H_{36}CIN_3O_4H$ : 598.2473; found: 598.2467  $[M^+ +H]$ .

# (+)-{(1S,10R)-5-Benzyloxy-1-(10-chloroethyl)-3-[(5-(2-N,N-dimethylaminoacetylamino)-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz[e]indole}

 $[ (+)$ -(1S,10R)-13c]: According to GP 2, benzyl ether  $(+)$ -5 (150 mg, 342 µmol) was stirred in 4<sub>M</sub> HCl/EtOAc for 3.5 h at room temperature. The residue was then reacted with EDC·HCl (197 mg, 1.03 mmol) and indole  $10c$  (133 mg, 445 µmol) in DMF for 24 h at room temperature. Purification of the crude product by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 30:1) provided  $(+)$ -13c (155 mg, 267 µmol, 78%) as a pale green foam.  $R_f = 0.31$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 20:1);  $\left[\alpha\right]_D^{20} = +66.0$  (c=0.3 in CHCl<sub>3</sub>); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  = 1.63 (d, J = 6.8 Hz, 3H, 11-H<sub>3</sub>), 2.39 (s, 6H, NMe<sub>2</sub>), 3.11 (s, 2H, 1"-H<sub>2</sub>), 3.88-4.02 (m, 1H, 1-H), 4.47–4.63 (m, 2H, 2-H<sub>a</sub>, 10-H), 4.84 (dd,  $J=10.8$ , 1.5 Hz, 1H, 2-H<sub>b</sub>), 5.24  $(m, 2H, OCH<sub>2</sub>Ph), 7.06$  (d,  $J=1.4$  Hz, 1H, 3'-H), 7.20 (dd,  $J=8.8$ , 1.9 Hz, 1H, 6'-H), 7.28-7.56 (m, 8H, 7-H, 7'-H, 8-H,  $5 \times Ph-H$ ), 7.68 (d,  $J=$ 8.2 Hz, 1H, 9-H), 8.13–8.28 (m, 2H, 4-H, 4'-H), 8.35 (d, J=8.3 Hz, 1H, 6-H), 9.11 (s, 1H, NH), 10.03 ppm (s, 1H, NH); 13C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  = 23.9 (C-11), 46.0 (NMe<sub>2</sub>), 47.4 (C-1), 53.5 (C-2), 59.9 (C-10), 63.6 (C-1''), 70.3 (OCH2Ph), 98.2 (C-4), 106.4 (C-3'), 112.1 (C-7'), 112.6 (C-4'), 117.3 (C-5a), 118.8 (C-6'), 122.5 (C-9), 123.7, 123.8, 123.9 (C-6, C-7, C-9b), 127.4, 127.6, 127.9, 128.0, 128.5 (C-3a', C-8, 5 x Bn-CH), 129.9 (C-5'), 131.1, 131.2, 133.2 (C-2', C-7a', C-9a), 136.7 (Bn-C), 142.3 (C-3a), 155.5 (C-5), 160.4 (C=O), 168.6 ppm (C=O); IR (KBr):  $\tilde{v} = 3417$ , 3298, 2945, 1679, 1625, 1587, 1523, 1459, 1405, 1333, 1267 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.5 (4.688), 233.5 (4.558), 260.0 (4.603), 301.0 (4.549), 339.5 nm (4.479); MS (EI, 70 eV): m/z (%): 580.0 (10) [M <sup>+</sup>], 544.0 (7)  $[M^+$ -Cl-H]; HRMS (ESI):  $m/z$ : calcd for C<sub>34</sub>H<sub>33</sub>ClN<sub>4</sub>O<sub>3</sub>H: 580.2319; found: 581.2314  $[M^+ + H]$ .

#### (+)-{(1S,10R)-5-Benzyloxy-1-(10-chloroethyl)-3-[(5-(2-morpholin-4-ylethoxy)-indol-2-yl)-carbonyll-1,2-dihydro-3H-benz $[e]$ indole} [(+)-

 $(1S,10R)-13 d$ : According to GP 2, benzyl ether  $(+)-5$   $(150 mg,$ 342 µmol) was stirred in 4<sub>M</sub> HCl/EtOAc for 3 h at room temperature. The residue was then reacted with EDC·HCl (197 mg, 1.03 mmol) and indole 10d (146 mg, 445 µmol) in DMF for 21 h at room temperature. Purification of the crude product by column chromatography on silica gel  $(CH_2Cl_2/MeOH$  30:1) provided  $(+)$ -13d (156 mg, 256 µmol, 75%) as a pale brown solid.  $R_f = 0.25$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 30:1);  $\left[\alpha\right]_D^{20} = +42.7$  ( $c = 0.3$ ) in CHCl<sub>3</sub>); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>):  $\delta$  = 1.62 (d, J = 6.7 Hz, 3H, 11-H<sub>3</sub>), 2.61 (m, 4H, 3'''-H<sub>2</sub>, 5'''-H<sub>2</sub>), 2.83 (t,  $J=5.8$  Hz, 2H, 2''-H<sub>2</sub>), 3.76 (m, 4H, 2'''-H2, 6'''-H2), 3.89–3.98 (m, 1H, 1-H), 4.15 (t, J=5.8 Hz, 2H, 1''- H<sub>2</sub>), 4.47-4.61 (m, 2H, 2-H<sub>a</sub>, 10-H), 4.85 (m, 1H, 2-H<sub>b</sub>), 5.25 (m, 2H, OCH<sub>2</sub>Ph), 6.94–7.02 (m, 2H, 3'-H, 6'-H), 7.12 (d,  $J=2.3$  Hz, 1H, 4'-H), 7.29–7.57 (m, 8H, 7-H, 7'-H, 8-H,  $5 \times Ph-H$ ), 7.68 (d,  $J=8.2$  Hz, 1H, 9-H), 8.19 (brs, 1H, 4-H), 8.34 (d,  $J=8.2$  Hz, 1H, 6-H), 9.88 ppm (brs, 1H, NH); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>):  $\delta$  = 24.0 (C-11), 47.5 (C-1), 53.6 (C-2), 54.1 (C-3''', C-5'''), 57.8 (C-2''), 59.9 (C-10), 66.3 (C-1''), 66.9 (C-2''', C-6'''), 70.3 (OCH2Ph), 98.2 (C-4), 103.7 (C-4'), 105.8 (C-3'), 112.8 (C-7'), 117.0, 117.2 (C-6', C-5a), 122.6 (C-9), 123.7, 123.7, 123.9 (C-6, C-7, C-9b), 127.4, 127.6, 127.9, 128.1, 128.5 (C-3a', C-8, 5 x Bn-CH), 130.0, 130.8, 131.5 (C-2', C-7a', C-9a), 136.7 (Bn-C), 142.4 (C-3a), 153.7 (C-5'), 155.6 (C-5), 160.5 ppm (C=O); IR (KBr):  $\tilde{v} = 3444$ , 2856, 1625, 1579, 1518, 1453, 1406, 1288, 1266 cm<sup>-1</sup>; UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.0 (4.783), 249.5 (4.391), 291.0 (4.405), 300.0 (4.566), 339.5 nm (4.477); MS (EI, 70 eV):  $m/z$  (%): 609.2 (1)  $[M^+]$ , 573.1 (3)  $[M^+$ -Cl-H], 100.0 (100) [CH<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>O<sup>+</sup>]; HRMS (EI):  $m/z$ : calcd for C<sub>36</sub>H<sub>36</sub>ClN<sub>3</sub>O<sub>4</sub>: 609.2394; found: 609.2394.

(+)-{(1S,10R)-5-Benzyloxy-1-(10-chloroethyl)-3-[(5-(1-methylpiperidin-4 yl-methoxy)-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz $[e]$ indole}  $[(+)$ - $(1S,10R)-13e$ : According to GP 2, benzyl ether  $(+)-5$   $(150 \text{ mg})$ , 342 µmol) was stirred in 4<sub>M</sub> HCl/EtOAc for 3.5 h at room temperature. The residue was then treated with EDC·HCl (197 mg, 1.03 mmol) and indole  $10e$  (144 mg, 445 µmol) in DMF for 23 h at room temperature. Purification of the crude product by column chromatography on silica gel  $(CH_2Cl<sub>2</sub>/MeOH 10:1)$  provided  $(+)$ -13e (158 mg, 260 µmol, 76%) as a beige solid.  $R_f = 0.29$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1);  $[\alpha]_D^{20} = +36.3$  (c=0.35 in DMSO); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 1.32–1.51 (m, 2H, 3'''-H<sub>ax</sub>,  $5''$ - $H_{ax}$ ), 1.63 (d, J = 6.7 Hz, 3H, 11- $H_3$ ), 1.71–1.88 (m, 3H, 3 $''$ - $H_{eq}$ , 4 $''$ -H,  $5''$ -H<sub>eq</sub>), 2.07–2.22 (m, 2H, 2‴-H<sub>ax</sub>, 6‴-H<sub>ax</sub>), 2.30 (s, 3H, NCH<sub>3</sub>), 2.85– 3.01 (m, 2H, 2'''-Heq, 6'''-Heq), 3.85 (d, J=5.7 Hz, 2H, 1''-H2), 4.16–4.27  $(m, 1H, 1-H)$ , 4.56–4.86  $(m, 3H, 2-H<sub>2</sub>, 10-H)$ , 5.30  $(m, 2H, OCH<sub>2</sub>Ph)$ , 6.93 (d,  $J=8.9$ , 2.3 Hz, 1 H, 6'-H), 7.13–7.20 (m, 2 H, 3'-H, 4'-H), 7.32– 7.49, 7.51-7.62 ( $2 \times m$ , 8H, 7-H, 7-H, 8-H,  $5 \times Ph-H$ ), 7.96 (d,  $J=8.3$  Hz, 1H, 9-H), 8.12 (br s, 1H, 4-H), 8.23 (d, J=8.4 Hz, 1H, 6-H), 11.62 ppm (br s, 1 H, NH); <sup>13</sup>C NMR (75 MHz,  $[D_6]$ DMSO):  $\delta$  = 23.3 (C-11), 27.8 (C-3''', C-5'''), 34.2 (C-4'''), 45.1 (NCH3), 45.9 (C-1), 52.0 (C-2), 54.3 (C-2''', C-6'''), 61.3 (C-10), 69.6 (OCH2Ph), 72.3 (C-1''), 98.4 (C-4), 103.4 (C-4'), 105.3 (C-3'), 113.1 (C-7'), 115.8 (C-6'), 117.5 (C-5a), 122.6 (2 signals), 123.0, 123.7 (C-6, C-7, C-9, C-9b), 127.3, 127.4, 127.5, 127.8, 128.4 (C-3a', C-8,  $5 \times$ Bn-CH), 129.6, 130.9, 131.6 (C-2', C-7a', C-9a), 136.8 (Bn-C), 142.1 (C-3a), 153.1 (C-5'), 154.2 (C-5), 160.1 ppm (C=O); UV (CH<sub>3</sub>CN):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 206.5 (4.768), 249.5 (4.369), 290.0 (4.385), 300.0 (4.546), 340.0 nm  $(4.457)$ ; IR  $(KBr)$ :  $\tilde{v} = 3423, 2933, 2785, 1624, 1582, 1516, 1455,$ 1406, 1267, 1232 cm<sup>-1</sup>; MS (EI, 70 eV):  $m/z$  (%): 607.0 (4) [M<sup>+</sup>], 571.0 (23)  $[M^+ - Cl - H]$ ; HRMS (ESI):  $m/z$ : calcd for C<sub>37</sub>H<sub>38</sub>ClN<sub>3</sub>O<sub>3</sub>H: 608.2680  $[M^+ + H]$ ; found: 608.2675.

### General procedure 3

Preparation of seco-drug hydrochlorides  $(+)$ - $(1S,10R)$ -14a–e: Benzyl ether (+)-13 was dissolved in 4m HCl/EtOAc (10 mL) and stirred for 2 h at room temperature. The resulting solution was concentrated in vacuo and the residue was thoroughly dried under vacuum. Then, the residue was suspended in freshly distilled THF (8 mL) and 10% Pd/C and a 25% (w/w) aqueous solution of  $NH<sub>4</sub>HCO<sub>2</sub>$  were added. After stirring for 20– 120 min at  $40^{\circ}$ C, the solid was removed by filtration through Celite which was washed thoroughly with MeOH (150 mL). The concentrated filtrate was purified by column chromatography  $(CH_2Cl<sub>2</sub>/MeOH/conc.$ HCl) to give seco-drug hydrochloride  $(+)$ -14.

# $(+)$ -{ $(1S,10R)$ -1-(10-Chloroethyl)-3-[(5-(2-N,N-dimethylaminoethoxy)-

indol-2-yl)-carbonyl]-5-hydroxy-1,2-dihydro-3H-benz[e]indole hydrochloride}  $[ (+)-(1S,10)-14a]$ : According to GP 3, benzyl ether  $(+)$ -13a (100 mg, 176 µmol) was stirred in 4M HCl/EtOAc. After that, a suspension of the residue was treated with Pd/C (10%, 38 mg) and a 25% (w/w) aqueous solution of  $NH_4HCO_2$  (0.38 mL), and the resulting mixture was stirred for 2 h at  $40^{\circ}$ C. Purification of the crude product by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 1% conc. HCl) provided seco-drug hydrochloride  $(+)$ -14a (78 mg, 152 µmol, 86%) as a green solid.  $R_f = 0.43$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1);  $\left[\alpha\right]_D^{20} = +27.4$  ( $c = 0.5$  in MeOH); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 1.62 (d, J = 6.6 Hz, 3 H, 11-H<sub>3</sub>), 2.81 (s, 6H, NMe<sub>2</sub>), 3.47 (t,  $J=5.0$  Hz, 2H, 2"-H<sub>2</sub>), 4.16 (m, 1H, 1-H), 4.39 (t, J=5.0 Hz, 2H, 1''-H2), 4.57 (m, 1H, 2-Ha), 4.66–4.81 (m, 2H, 2-H<sub>b</sub>, 10-H), 7.00 (dd,  $J=8.9$ , 2.2 Hz, 1H, 6'-H), 7.16 (br s, 1H, 3'-H), 7.25 (d, J=2.0 Hz, 1H, 4'-H), 7.35 (t, J=7.6 Hz, 1H, 7-H), 7.45 (d, J= 8.9 Hz, 1H, 7'-H), 7.51 (t, J=7.5 Hz, 1H, 8-H), 7.88 (d, J=8.3 Hz, 1H, 9- H), 7.97 (br s, 1H, 4-H), 8.14 (d, J=8.3 Hz, 1H, 6-H), 10.42 (s, 1H, OH),

# Analogues of the Antibiotic CC-1065 and Duocarmycins **FULL PAPER**

11.00 (brs, 1H, NH<sup>+</sup>), 11.65 ppm (brs, 1H, NH); <sup>13</sup>C NMR (75 MHz, [ $D_6$ ]DMSO):  $\delta$  = 23.4 (C-11), 42.8 (NMe<sub>2</sub>), 45.9 (C-1), 52.1 (C-2), 55.4 (C-2''), 61.5 (C-10), 63.1 (C-1''), 100.4 (C-4), 104.0 (C-4'), 105.2 (C-3'), 113.2 (C-7'), 115.6, 115.9 (C-6', C-5a), 122.2, 122.9, 122.9, 123.1 (C-6, C-7, C-9, C-9b), 127.0 (C-8), 127.4 (C-3a'), 129.8, 131.3, 131.9 (C-2', C-7a', C-9a), 142.1 (C-3a), 152.0 (C-5'), 153.9 (C-5), 159.8 ppm (C=O); IR (KBr):  $\tilde{v}$  = 3318, 2227, 1614, 1589, 1516, 1417, 1236, 1023 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.5 (4.385), 248.5 (4.123), 303.0 (4.203), 337.5 nm (4.115); MS (EI, 70 eV):  $m/z$  (%): 441.3 (9)  $[M^+$ -2HCl]; HRMS (ESI):  $m/z$ : calcd for  $C_{27}H_{29}CIN_{3}O_{3}$ : 478.1892; found: 478.1892  $[M^{+}]$ .

(+)-{(1S,10R)-1-(10-Chloroethyl)-3-[(5-(2-N,N-dimethylaminoethoxy)-6 methoxy-indol-2-yl)-carbonyl]-5-hydroxy-1,2-dihydro-3H-benz[e]indole

hydrochloride}  $[ (+)-(1S,10R)-14b]$ : According to GP 3, benzyl ether  $(+)$ -13b (80 mg, 134 µmol) was stirred in 4M HCl/EtOAc. After that, a suspension of the residue was treated with Pd/C (10%, 29 mg) and a 25% (w/w) aqueous solution of  $NH<sub>4</sub>HCO<sub>2</sub>$  (0.29 mL), and the resulting mixture was stirred for 20 min at  $40^{\circ}$ C. Purification of the crude product by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 0.1% conc. HCl) provided seco-drug hydrochloride  $(+)$ -14b (63 mg, 116 µmol, 86%) as a green-yellow solid.  $R_f=0.35$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 1% conc. HCl);  $[\alpha]_{\text{D}}^{20} = +29.3$  (c=0.4 in MeOH); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO):  $\delta$ = 1.63 (d,  $J=6.6$  Hz, 3H, 11-H<sub>3</sub>), 2.89 (s, 6H, NMe<sub>2</sub>), 3.51 (m, 2H, 2<sup>''</sup>-H<sub>2</sub>), 3.84 (s, 3H, OCH<sub>3</sub>), 4.11–4.20 (m, 1H, 1-H), 4.39 (m, 2H, 1<sup>1</sup>-H<sub>2</sub>), 4.50– 4.82 (m, 3H, 2-H2, 10-H), 7.04 (s, 1H, 7'-H), 7.14 (d, J=1.5 Hz, 1H, 3'- H), 7.30 (s, 1H, 4'-H), 7.34 (m, 1H, 7-H), 7.50 (m, 1H, 8-H), 7.87 (d, J= 8.2 Hz, 1H, 9-H), 7.99 (s, 1H, 4-H), 8.13 (d, J=8.2 Hz, 1H, 6-H), 10.40  $(s, 1H, OH), 11.18$  (brs, 1H, NH<sup>+</sup>), 11.51 ppm (brs, 1H, NH); <sup>13</sup>C NMR (75 MHz,  $[D_6]$ DMSO):  $\delta$  = 23.4 (C-11), 42.8 (NMe<sub>2</sub>), 45.9 (C-1), 52.1 (C-2), 55.3 (C-2''), 55.6 (OCH3), 61.5 (C-10), 64.6 (C-1''), 94.7 (C-7'), 100.4 (C-4), 105.9 (C-3'), 106.6 (C-4'), 115.6 (C-5a), 120.1 (C-3a'), 122.1 (C-9b), 122.7, 122.8 (C-7, C-9), 123.1 (C-6), 126.9 (C-8), 129.4, 129.8 (C-2', C-9a), 132.3 (C-7a'), 142.3 (C-3a), 143.4 (C-5'), 149.3 (C-6'), 153.8 (C-5), 159.7 ppm (C=O); IR (KBr):  $\tilde{v} = 3385, 1612, 1587, 1516, 1471, 1415, 1313,$ 1201, 1153 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 206.0 (4.653), 245.5 (4.321), 349.5 nm (4.492); MS (ESI):  $m/z$  (%): 508.2 (100)  $[M^+$ -Cl]; HRMS (ESI):  $m/z$ : calcd for C<sub>28</sub>H<sub>31</sub>ClN<sub>3</sub>O<sub>4</sub>: 508.2003; found: 508.1998 [M<sup>+</sup>].

(+)-{(1S,10R)-1-(10-Chloroethyl)-3-[(5-(2-N,N-dimethylaminoacetylamino)-indol-2-yl)-carbonyl]-5-hydroxy-1,2-dihydro-3H-benz[e]indole hydrochloride}  $[ (+)-(1S,10R)-14c]$ : According to GP 3, benzyl ether  $(+)$ -13c (80 mg, 138  $\mu$ mol) was stirred in 4 M HCl/EtOAc. After that, a suspension of the residue was treated with Pd/C (10%, 30 mg) and a 25% (w/w) aqueous solution of  $NH_4HCO_2$  (0.30 mL), and the resulting mixture was stirred for 40 min at 40°C. Purification of the crude product by column chromatography on silica gel (MeOH, 0.1% conc. HCl) provided a solid which was then dissolved in MeOH, 0.1% conc. HCl. Unsoluble silica gel was removed by filtration and the filtrate was concentrated in vacuo to give seco-drug hydrochloride  $(+)$ -14 $\mathfrak{c}$  (78 mg, 116 µmol, 84%) as a green solid.  $R_f = 0.62$  (MeOH, 0.1% conc. HCl);  $\left[\alpha\right]_D^{20} = +68.3$  ( $c = 0.3$  in MeOH); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 1.63 (d, J = 6.3 Hz, 3 H, 11-H<sub>2</sub>), 2.90 (s, 6H, NMe<sub>2</sub>), 4.11–4–25 (m, 3H, 1-H, 1<sup>1</sup>–H<sub>2</sub>), 4.53–4.64 (m, 1H, 2-H<sub>a</sub>), 4.65–4.81 (m, 2H, 2-H<sub>b</sub>, 10-H), 7.21–7.55 (m, 5H, 3'-H, 6'-H, 7-H, 7'-H, 8-H), 7.88 (d, J=8.3 Hz, 1H, 9-H), 7.99 (br s, 1H, 4-H), 8.07– 8.19 (m, 2H, 4'-H, 6-H), 10.30 (brs, 1H, OH), 10.43, 11.03, 11.73 ppm  $(3 \times s, 3H, 2 \times NH, NH^+);$  <sup>13</sup>C NMR (75 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 23.3 (C-11), 43.1 (NMe<sub>2</sub>), 45.8 (C-1), 52.1 (C-2), 57.9 (C-1"), 61.5 (C-10), 100.3 (C-4), 105.6 (C-3'), 112.1, 112.3 (C-4', C-7'), 115.9 (C-5a), 118.0 (C-6'), 122.2, 122.9 (2 signals), 123.1 (C-6, C-7, C-9, C-9b), 126.9, 127.0 (C-3a', C-8), 129.7, 130.8, 131.4, 133.3 (C-2', C-5', C-7a', C-9a), 142.1 (C-3a), 153.8 (C-5), 159.7 (C=O), 162.4 ppm (C=O); IR (KBr):  $\tilde{v} = 3206$ , 1682, 1589, 1518, 1473, 1412, 1252 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\text{max}}$  (lg  $\varepsilon$ ) = 207.5 (4.484), 257.0 (4.499), 303.5 (4.409), 337.5 nm (4.328); MS (ESI): m/z (%): 981.0 (11)  $[2M^+ + H]$ , 491.1 (100)  $[M^+ - Cl]$ ; HRMS (ESI):  $m/z$ : calcd for  $C_{27}H_{28}CIN_4O_3$ : 491.1850; found 491.1844 [M<sup>+</sup>].

(+)-{(1S,10R)-1-(10-Chloroethyl)-5-hydroxy-3-[(5-(2-morpholin-4-ylethoxy)-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz[e]indole hydrochloride} [(+)-(1S,10R)-14 d]: According to GP 3, benzyl ether (+)-13 d (80 mg, 131 mmol) was stirred in 4m HCl/EtOAc. After that, a suspension of the residue was treated with Pd/C (10%, 28 mg) and a 25% (w/w)

aqueous solution of  $NH<sub>4</sub>HCO<sub>2</sub>$  (0.28 mL), and the resulting mixture was stirred for 1 h at  $40^{\circ}$ C. Purification of the crude product by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 0.1% conc. HCl) provided seco-drug hydrochloride  $(+)$ -14d  $(67 \text{ mg}, 120 \text{ µmol}, 82\%)$  as a yellow solid.  $R_f = 0.30$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 10:1, 1% conc. HCl);  $\left[\alpha\right]_D^{20} = +21.3$  (c= 0.3 in DMSO); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 1.62 (d, J = 6.5 Hz, 3H, 11-H<sub>3</sub>), 3.14–3.63 (m, 6H, 2"-H<sub>2</sub>, 3"'-H<sub>2</sub>, 5"'-H<sub>2</sub>), 3.83–4.03 (m, 4H,  $2''''-H_2$ , 6 $''-H_2$ ), 4.12-4.21 (m, 1H, 1-H), 4.41-4.64 (m, 3H, 1 $''-H_2$ , 2-H<sub>a</sub>), 4.64–4.82 (m, 2H, 2-H<sub>b</sub>, 10-H), 7.00 (dd,  $J=9.0$ , 1.8 Hz, 1H, 6'-H), 7.16 (br s, 1H, 3'-H), 7.25 (m, 1H, 4'-H), 7.35 (m, 1H, 7-H), 7.41–7.55 (m, 2H,  $7'$ -H, 8-H), 7.88 (d,  $J=8.4$  Hz, 1H, 9-H), 7.98 (brs, 1H, 4-H), 8.14 (d,  $J=$ 8.3 Hz, 1H, 6-H), 10.42 (s, 1H, OH), 11.65 (s, 1H, NH), 11.93 ppm (br s, 1H, NH<sup>+</sup>); <sup>13</sup>C NMR (75 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 23.4 (C-11), 45.9 (C-1), 51.6 (C-3''', C-5'''), 52.1 (C-2), 54.9 (C-2''), 61.5 (C-10), 62.8 (C-1''), 63.0 (C-2''', C-6'''), 100.4 (C-4), 104.0 (C-4'), 105.2 (C-3'), 113.2 (C-7'), 115.6, 115.9 (C-6', C-5a), 122.2 (C-9b), 122.8, 122.9, 123.1 (C-6, C-7, C-9), 126.9 (C-8), 127.4 (C-3a'), 129.8, 131.3, 131.9 (C-2', C-7a', C-9a), 142.1 (C-3a), 151.9 (C-5'), 153.9 (C-5), 159.8 ppm (C=O); IR (KBr):  $\tilde{v} = 3406$ , 3204, 2594, 1629, 1610, 1589, 1518, 1445, 1415, 1236 cm $^{-1}$ ; UV (MeOH):  $\lambda_{\max}$  (lg  $\varepsilon$ ) = 206.5 (4.627), 249.0 (4.360), 303.0 (4.439), 336.0 nm (4.364); MS (ESI):  $m/z$  (%): 520.1 (100)  $[M^+$ -Cl]; HRMS (ESI):  $m/z$ : calcd for  $C_{29}H_{31}CIN_3O_4$ : 520.2003; found: 520.1998  $[M^+]$ .

(+)-{(1S,10R)-1-(10-Chloroethyl)-5-hydroxy-3-[(5-(1-methylpiperidin-4 yl-methoxy)-indol-2-yl)-carbonyl]-1,2-dihydro-3H-benz[e]indole hydrochloride}  $[ (+)-(1S,10R)-14e]$ : According to GP 3, benzyl ether  $(+)$ -13e  $(90 \text{ mg}, 148 \text{ umol})$  was stirred in  $4 \text{ M HCl/EtOAc}$ . After that, a suspension of the residue was treated with Pd/C  $(10\%, 32 \text{ mg})$  and a  $25\%$  (w/w) aqueous solution of  $NH<sub>4</sub>HCO<sub>2</sub>$  (0.32 mL), and the resulting mixture was stirred for 75 min at  $40^{\circ}$ C. Purification of the crude product by column chromatography on silica gel (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 0.1% conc. HCl) provided seco-drug hydrochloride  $(+)$ -14e  $(67 \text{ mg}, 121 \text{ µmol}, 82\%)$  as a yellow solid.  $R_f = 0.33$  (CH<sub>2</sub>Cl<sub>2</sub>/MeOH 5:1, 1% conc. HCl);  $[a]_D^{20} =$ +44.2 ( $c = 0.33$ , MeOH); <sup>1</sup>H NMR (300 MHz, [D<sub>6</sub>]DMSO):  $\delta = 1.60$  (d,  $J=6.5$  Hz, 3H, 11-H<sub>3</sub>), 1.64–1.82 (m, 2H, 3"'-H<sub>ax</sub>, 5"'-H<sub>ax</sub>), 1.87–2.09 (m, 3H, 3'''-Heq, 4'''-H, 5'''-Heq), 2.67 (s, 3H, NCH3), 2.85–3.04 (m, 2H, 2'''-  $H_{ax}$ , 6"'- $H_{ax}$ ), 3.26-3.45 (m, 2H, 2"'- $H_{eq}$ , 6"'- $H_{eq}$ ), 3.85 (m, 2H, 1"- $H_2$ ), 4.14 (m, 1H, 1-H), 4.47-4.79 (m, 3H, 2-H<sub>2</sub>, 10-H), 6.91 (m, 1H, 6'-H), 7.11, 7.16  $(2 \times br s, 2H, 3'H, 4'H), 7.28-7.44$  (m, 2H, 7-H, 7-H), 7.48 (m, 1H, 8-H), 7.86 (d, J = 8.3 Hz, 1H, 9-H), 7.96 (brs, 1H, 4-H), 8.12 (d, J = 8.3 Hz, 1H, 6-H), 10.43 (s, 1H, OH), 10.97 (br s, 1H, NH<sup>+</sup>), 11.59 ppm (s, 1H, NH); <sup>13</sup>C NMR (75 MHz, [D<sub>6</sub>]DMSO):  $\delta$  = 23.4 (C-11), 25.8 (C-3<sup>*'''*</sup>, C-5'''), 32.8 (C-4'''), 42.5 (NCH3), 45.8 (C-1), 52.1 (C-2), 52.8 (C-2''', C-6'''), 61.5 (C-10), 71.7 (C-1''), 100.4 (C-4), 103.6, 105.2 (C-3', C-4'), 113.1 (C-7'), 115.6, 115.8 (C-6', C-5a), 122.2, 122.8, 122.9, 123.1 (C-6, C-7, C-9, C-9b), 126.9 (C-8), 127.5 (C-3a'), 129.8, 131.1, 131.7 (C-2', C-7a', C-9a), 142.1 (C-3a), 152.9 (C-5'), 153.9 (C-5), 159.8 ppm (C=O); IR (KBr):  $\tilde{v}$  = 3405, 2672, 1625, 1587, 1517, 1469, 1411, 1280 cm<sup>-1</sup>; UV (MeOH):  $\lambda_{\max}$  (lg  $\varepsilon$ ) = 202.0 (4.676), 248.0 (4.356), 303.0 (4.450), 339.5 nm (4.362); MS (ESI):  $m/z$  (%): 518.0 (100)  $[M^+$ -Cl]; HRMS (ESI):  $m/z$ : calcd for  $C_{30}H_{33}CIN_3O_3$ : 518.2210; found: 518.2205 [M<sup>+</sup>-Cl].

Cell culture: Human bronchial carcinoma cells of line A549 (ATCC CCL 185) were kindly provided by the Institut für Zellbiologie, Universität Essen (Germany), and were maintained as exponentially growing cultures at  $37^{\circ}$ C and  $7.5\%$  CO<sub>2</sub> in air in Dulbecco's modified Eagle's medium (DMEM) (Biochrom, Berlin, Germany) supplemented with 10% fetal calf serum (heat-inactivated for 30 min at 56 °C. GibcoBRL, Karlsruhe, Germany), 44 mm NaHCO<sub>3</sub> (Biochrom, Berlin, Germany) and 4 mm l-glutamine (GibcoBRL, Karlsruhe, Germany).

In vitro cytotoxicity assays: Adherent cells of line A549 were sown in triplicate in six multiwell plates at concentrations of  $10^2$ ,  $10^3$ ,  $10^4$  and  $10^5$ cells per cavity. Culture medium was sucked off after 24 h and cells were washed in the incubation medium Ultraculture (UC, serum-free special medium, purchased from BioWhittaker Europe, Verviers, Belgium). Incubation with compounds  $(+)$ -12a-e and  $(+)$ -14a-e was then performed in Ultraculture medium at various concentrations for 24 h. All substances were used as freshly prepared solutions in DMSO (Merck, Darmstadt, Germany) diluted with incubation medium to a final concentration of DMSO of 1% in the wells. After 24 h of exposure the test substance was

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removed and the cells were washed with fresh medium. Cultivation was done at 37 $^{\circ}$ C and 7.5% CO<sub>2</sub> in air for 12 d. The medium was removed and the clones were dried and stained with Löffler's methylene blue (Merck, Darmstadt, Germany). They were then counted macroscopically. The  $IC_{50}$  values were based on the relative clone forming rate, which was determined according to the following formula: relative clone forming rate  $[\%] = 100 \times$  (number of clones counted after exposure)/(number of clones counted in the control).

Liberation of the seco-drugs from their glycosidic prodrugs was achieved by addition of 4.0 UmL<sup>-1</sup>  $\beta$ -D-galactosidase (EC 3.2.1.23, Grade X, purchased from Sigma Germany, Deisenhofen, Germany) to the cells during incubation with the substances.

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# Analogues of the Antibiotic CC-1065 and Duocarmycins<br> **FULL PAPER**

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